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cotangent bundles

by

*Alberto Abbondandolo, and Matthias Schwarz*

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# On product structures in Floer homology of cotangent bundles

Alberto Abbondandolo and Matthias Schwarz

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## Abstract

In an earlier paper we have shown that the pair-of-pants product on the Floer homology of the cotangent bundle of an oriented compact manifold  $Q$  corresponds to the Chas-Sullivan loop product on the singular homology of the free loop space of  $Q$ . We now give chain level constructions of further product structures in Floer homology, corresponding to the cup product on the homology of any path space, and to the Goresky-Hingston product on the relative cohomology of the free loop space modulo constant loops. Moreover, we give an explicit construction for the inverse isomorphism between Floer homology and loop space homology.

## 1 Introduction, Main Results

Let  $Q$  be a closed, smooth manifold, and let  $H: [0, 1] \times T^*Q \rightarrow \mathbb{R}$  be a time-dependent smooth Hamiltonian on its cotangent bundle. The cotangent bundle is viewed as a symplectic manifold with the canonical Liouville structure  $\omega = d\lambda$ , where  $\lambda = pdq$  is the Liouville 1-form given in local coordinates. In the same local coordinates, we have also the global Liouville vector field  $Y = p \frac{\partial}{\partial p}$ , i.e.  $\omega(Y, \cdot) = \lambda$ .

We assume that  $H$  is 1-periodic in time and that it is of *quadratic type*, i.e., it satisfies the conditions

$$(H1) \quad dH(t, q, p)[Y] - H(t, q, p) \geq h_0|p|^2 - h_1,$$

$$(H2) \quad |\nabla_q H(t, q, p)| \leq h_2(1 + |p|^2), \quad |\nabla_p H(t, q, p)| \leq h_2(1 + |p|),$$

for every  $(t, q, p)$  for some constants  $h_0 > 0$ ,  $h_1 \in \mathbb{R}$ ,  $h_2 > 0$ . Condition (H1) essentially says that  $H$  grows at least quadratically in  $p$  on each fiber of  $T^*Q$ , and that it is radially convex for  $|p|$  large. Condition (H2) implies that  $H$  grows at most quadratically in  $p$  on each fiber. Such Hamiltonians include in particular physical Hamiltonians with magnetic fields,  $H(t, q, p) = \frac{1}{2}|p|^2 + \langle A(t, q), p \rangle + V(t, q)$ ,  $A(t, \cdot) \in \Omega^1(Q)$  a loop of one-forms.

Generically, the Hamiltonian system

$$\dot{x}(t) = X_H(t, x(t)), \tag{1}$$

for the Hamiltonian vector field  $X_H$  defined by  $\omega(X_H, \cdot) = -dH$ , has a discrete set  $\mathcal{P}_1(H)$  of 1-periodic orbits. In fact, we assume the generic non-degeneracy condition on  $H$ ,

$$(H0) \quad \text{the time-1-map of the flow } \Phi_H^t \text{ generated by } X_H \text{ has only non-degenerate fixed points, i.e. } D\Phi_H^1(x) \text{ has no eigenvalue 1 for any fixed point } \Phi_H^1(x) = x.$$

The free abelian group  $F_*(H)$  generated by the elements  $x \in \mathcal{P}_1(H)$ , which by  $x \mapsto x(0)$  correspond exactly to the fixed points of  $\Phi_H^1$ , graded by their Conley-Zehnder index  $\mu_{cz}(x)$ , supports a chain complex, the *Floer complex*  $(F_*(H), \partial)$ . The boundary operator  $\partial$  is defined by an algebraic count of the maps  $u$  from the cylinder  $\mathbb{R} \times \mathbb{T}$  to  $T^*Q$ , solving the Cauchy-Riemann type equation

$$\partial_s u(s, t) + J(t, u(s, t))(\partial_t u(s, t) - X_H(t, u(s, t))) = 0, \quad \text{for all } (s, t) \in \mathbb{R} \times \mathbb{T}, \tag{2}$$

in short  $\bar{\partial}_{J,H}u = 0$ , and converging to two 1-periodic orbits  $x, y$  for  $s \rightarrow -\infty$  and  $s \rightarrow \infty$ . Here,  $J$  is an almost-complex structure on  $T^*Q$  calibrated by the symplectic structure in the sense that  $\omega(J\cdot, \cdot)$  gives a positive definite and symmetric form. Moreover, we consider for  $J$  only small, generic perturbations of the almost complex structure on  $T^*Q$  induced by a Riemannian metric on  $Q$  via the Levi-Civita connection, i.e. mapping vertical subbundle to horizontal and vice-versa. Then, (2) can be seen as the negative  $L^2$ -gradient equation for the Hamiltonian action functional

$$\mathcal{A}_H: C^\infty(\mathbb{T}, T^*Q) \rightarrow \mathbb{R}, \quad \mathcal{A}(x) = \int_{\mathbb{T}} (x^*\lambda - H(t, x(t))) dt. \quad (3)$$

This construction is due to A. Floer (see e.g. [Flo88a, Flo88b, Flo89a, Flo89b]) in the case of a closed symplectic manifold  $(M, \omega)$ , in order to prove a conjecture of V. Arnold on the number of periodic Hamiltonian orbits. The extension to non-compact symplectic manifolds such as the cotangent bundles we consider here, requires suitable growth conditions on the Hamiltonian, such as the asymptotic quadratic growth from (H1) and (H2) above. This can also be achieved with simply super-linear growth, provided that the Hamiltonian is homogeneous in  $|p|$  outside of a compact set and time-independently so. Here, we will stick to the assumption of quadratic type.

The Floer complex obviously depends on the Hamiltonian  $H$ , but its homology often does not, so it makes sense to call this homology the *Floer homology* of the underlying symplectic manifold  $(M, \omega)$ , and to denote it by  $HF_*(M)$ . The Floer homology of a compact symplectic manifold  $M$  without boundary is isomorphic to the singular homology of  $M$ , as proved by A. Floer for special classes of symplectic manifolds, and later extended to larger and larger classes by several authors (the general case requiring special coefficient rings, see [HS95, LT98, FO99]).

Unlike the compact case, the Floer homology of a cotangent bundle  $T^*Q$  for Hamiltonians of quadratic type is a truly infinite-dimensional homology theory, being isomorphic to the singular homology of the free loop space  $\Lambda Q$  of  $Q$ . This fact was proved by C. Viterbo (see [Vit96]) using a generating functions approach, later by D. Salamon and J. Weber using the heat flow for curves on a Riemannian manifold (see [SW06]) and then by the authors in [AS06].

In particular, our proof reduces the general case to the case of a Hamiltonian which is uniformly convex in the momenta

$$(H3) \quad \nabla_{pp}H(t, q, p) \geq h_3 I, \text{ for some } h_3 > 0,$$

and for such a Hamiltonian it constructs an explicit isomorphism between the Floer complex  $(F_*(H), \partial)$  and the *Morse complex*  $(M_*(\mathbb{S}_L), \partial)$  of the action functional

$$\mathbb{S}_L(\gamma) = \int_{\mathbb{T}} L(t, \gamma(t), \dot{\gamma}(t)) dt, \quad \gamma \in W^{1,2}(\mathbb{T}, Q),$$

associated to the Lagrangian  $L: \mathbb{T} \times TQ \rightarrow \mathbb{R}$  which is the Fenchel dual of  $H$ ,

$$L(t, q, v) = \max_{p \in T_q^*Q} (\langle p, v \rangle - H(t, q, p)),$$

a Lagrangian of Tonelli type. The latter complex is the standard chain complex associated to the Lagrangian action functional  $\mathbb{S}_L$ . The domain of such a functional is the infinite dimensional Hilbert manifold  $W^{1,2}(\mathbb{T}, Q)$  consisting of closed loops of Sobolev class  $W^{1,2}$  on  $Q$ . An important fact is that the functional  $\mathbb{S}_L$  is bounded from below, that is has non-degenerate critical points  $a \in \text{Crit}\mathbb{S}_L$  with finite Morse index  $i(a)$ , that is satisfies the Palais-Smale condition, and, although in general it is not of class  $C^2$ , that it admits a smooth Morse-Smale pseudogradient flow. The construction of the Morse complex in this infinite-dimensional setting and the proof that its homology is isomorphic to the singular homology of the ambient manifold are described in [AM06]. The isomorphism between the Floer and the Morse complex is obtained by coupling the Cauchy-Riemann type equation on half-cylinders with the pseudogradient flow equation for the Lagrangian action. We call this the *hybrid method*.

Since the space  $W^{1,2}(\mathbb{T}, Q)$  is homotopy equivalent to  $\Lambda Q$ , we get the asserted isomorphism

$$\Phi^\Lambda: H_*(\Lambda Q) \xrightarrow{\cong} HF_*(T^*Q).$$

This isomorphism result was generalized in [APS08] for more general path spaces than the free loop space. In fact, given a closed submanifold  $R \subset Q \times Q$ , we can consider the path space

$$\Omega_R Q = \{ c \in W^{1,2}([0, 1], Q) \mid (c(0), c(1)) \in R \}.$$

In particular, the choice  $R = \Delta$ , the diagonal in  $Q \times Q$ , produces the free loop space  $\Lambda Q$ , while the based loop space  $\Omega_{q_o} Q$  is given by the choice  $R = \{(q_o, q_o)\}$ .

Given a submanifold  $S \subset Q$  we have its associated conormal bundle

$$N^* S = \{ p \in T_q^* Q \mid q \in S, p|_{T_q S} \equiv 0 \},$$

which is a Lagrangian submanifold of  $(T^* Q, d\lambda)$  with  $\lambda|_{N^* S} \equiv 0$ .

Let  $H: [0, 1] \times T^* Q \rightarrow \mathbb{R}$  be a time-dependent Hamiltonian of quadratic type, non-degenerate with respect to  $N^* R \subset T^*(Q \times Q)$ , which means that the conjugated graph of the associated time-1-map

$$G_H = \{ (\alpha, \mathbb{C}\phi_H^1(\alpha)) \mid \alpha \in T^* Q \}$$

is a Lagrangian submanifold intersecting  $N^* R$  transversely in  $T^*(Q \times Q)$ , where  $\mathbb{C}: (q, p) \mapsto (q, -p)$  is the anti-symplectic conjugation on  $T^* Q$ .

In [APS08] it was shown that we have an associated Floer homology  $HF_*^R$ , with the chain complex  $F_*^R(H)$  generated by the non-degenerate Hamiltonian paths

$$\mathcal{P}_R(H) = \{ x: [0, 1] \rightarrow T^* Q \mid \dot{x}(t) = X_H(t, x(t)), (x(0), \mathbb{C}x(1)) \in N^* R \}, \quad (4)$$

and the boundary operator  $\partial: F_*^R \rightarrow F_{*-1}^R$  defined by counting the Floer trajectories

$$u: \mathbb{R} \times [0, 1] \rightarrow T^* Q, \quad \bar{\partial}_{J,H} u = 0, \quad (u(s, 0), \mathbb{C}u(s, 1)) \in N^* R \text{ f.a. } s \in \mathbb{R},$$

connecting  $x, y \in \mathcal{P}_R(H)$  as  $s \rightarrow -\infty$  and  $s \rightarrow \infty$ . Note that this is a well-posed Fredholm problem because of the fact that  $N^* R \subset T^*(Q \times Q)$  is a Lagrangian submanifold. Compactness and energy estimates hold because  $(\lambda \oplus \lambda)|_{N^* R} \equiv 0$ .

**1.1 THEOREM.** [APS08] *We have  $HF_*^R(T^* Q) \cong H_*(\Omega_R Q)$  via an explicit chain complex isomorphism  $\Phi^R: M_*(\mathbb{S}_L|_{\Omega_R Q}) \xrightarrow{\cong} F_*^R(H)$  where we model  $H_*(\Omega_R Q)$  via Morse homology  $HM_*(\mathbb{S}_L|_{\Omega_R Q})$  for a Tonelli-type Lagrangian action functional on  $\Omega_R Q$ , Fenchel dual to the quadratic type Hamiltonian  $H$ .*

The first aim of this paper is to give an explicit, chain level construction of a chain complex homomorphism  $\Psi^R: F_*^R(H) \rightarrow M_*(\mathbb{S}_L|_{\Omega_R Q})$  which might not be a chain complex isomorphism, but which induces an isomorphism

$$\Psi_*^R: HF_*^R(H) \xrightarrow{\cong} HM_*(\mathbb{S}_L|_{\Omega_R Q}), \quad \text{such that } \Psi_*^R = (\Phi_*^R)^{-1}.$$

Although, this chain morphism will not be directly seen to be an isomorphism, it brings methodical advantages for ring isomorphism proofs for  $\Phi_*$ , as we are going to show.

An important structure in Floer homology is its canonical ring structure, the so-called *pair-of-pants product* in the case of the free loop space (see [Sch95]), or triangle product in the case of the path space with endpoints on Lagrangian submanifolds. Already in the case of closed symplectic manifolds  $(M, \omega)$ , the pair-of-pants product

$$m_\Delta: HF_*(M) \otimes HF_*(M) \rightarrow HF_{*-n}(M), \quad \dim(M, \omega) = 2n,$$

encodes a truly symplectic invariant. While  $HF_*(M)$  as an abelian group is isomorphic to ordinary singular homology of  $M$ , the pair-of-pants product in general deviates from the expected intersection product. (Note that the grading of  $m_\Delta$  becomes consistent with that of the intersection product by the grading shift in the isomorphism  $HF_*(M) \cong H_{*+n}(M)$ .) In fact, as shown in [PSS96], Floer homology with the pair-of-pants product is ring isomorphic to the quantum

homology of  $QH_*(M, \omega)$  of  $(M, \omega)$ , a deformation of the intersection ring structure due to the presence of pseudoholomorphic spheres.

In the context of cotangent bundles, such a relation to pseudoholomorphic spheres cannot occur, since they simply cannot exist for the exact symplectic structure  $\omega = d\lambda$ . But the question remains, what the pair-of-pants ring structure corresponds to in view of the isomorphism  $HF_*(T^*Q) = HF_*^\Delta(H) \cong H_*(\Lambda Q)$ . In [AS10], we finally give the proof that the same isomorphism  $\Phi^\Delta$  intertwines  $m_\Delta$  with the Chas-Sullivan loop product (see [CS99]), provided that we consider closed and oriented smooth manifolds  $Q$ .

For the definition of the pair-of-pants product on chain level

$$m_\Delta: F_*^\Delta(H) \otimes F_*^\Delta(H) \rightarrow F_{*-n}^\Delta(H^{(2)}),$$

in [AS10] we use a model of the domain surface as the branched 2:1-covering of the standard cylinder, a smooth pair-of-pants surface with two cylindrical entrances and one cylindrical exit and a conformal structure globally given in the cylindrical coordinates as  $s + it$ . Note that, for precise energy estimates, we use the Hamiltonian  $H^{(2)}(t, q, p) = 2H(2t, q, p)$  whose 1-periodic orbits equal the 2-periodic ones for  $H$ . Alternatively, we define  $m_\Delta$  by counting

$$\begin{aligned} u = (u_1, u_2): \mathbb{R} \times [0, 1] &\rightarrow T^*(Q \times Q), \quad \bar{\partial}_{J, H} u_i = 0, \quad i = 1, 2, \\ (u_1(s, 0), Cu_1(s, 1), u_2(s, 0), Cu_2(s, 1)) &\in \begin{cases} N^*(\Delta_{12} \times \Delta_{34}), & s \leq 0, \\ N^*(\Delta_{14} \times \Delta_{23}), & s \geq 0, \end{cases} \end{aligned} \quad (5)$$

with asymptotics  $(x, y) \in \mathcal{P}_1(H) \times \mathcal{P}_1(H)$  for  $s \rightarrow -\infty$  and  $z \in \mathcal{P}_2(H)$  for  $s \rightarrow \infty$ . Here

$$\begin{aligned} \Delta_{12} \times \Delta_{34} &= \{ (q, q, q', q') \mid q, q' \in Q \}, \\ \Delta_{14} \times \Delta_{23} &= \{ (q, q', q', q) \mid q, q' \in Q \}. \end{aligned} \quad (6)$$

Similarly, when  $R = \{(q_0, q_0)\}$  we have the triangle product

$$m_{\{(q_0, q_0)\}}: HF_*^{\{(q_0, q_0)\}}(H) \otimes HF_*^{\{(q_0, q_0)\}}(H) \rightarrow HF_*^{\{(q_0, q_0)\}}(H),$$

and [AS10] contains the proof of the following:

**1.2 THEOREM.** *The chain complex isomorphisms  $\Phi^R: M_*(\mathbb{S}_L|_{\Omega_R Q}) \rightarrow F_*^R(H)$ , for  $R = \Delta$  or  $R = \{(q_0, q_0)\}$ , induces ring isomorphisms*

$$(H_*(\Lambda Q), \circ) \cong (HF_*^\Delta, m_\Delta), \quad (H_*(\Omega_{q_0} Q), \#) \cong (HF_*^{\{(q_0, q_0)\}}, m_{\{(q_0, q_0)\}}),$$

for the Chas-Sullivan product  $\circ$  on free loop space homology and the Pontrjagin product  $\#$  on based loop space homology.

If we view the submanifold  $R \subset Q \times Q$  as a correspondence, these products have natural generalizations in terms of composition of correspondences. In fact, given two correspondences  $R_1, R_2 \subset Q \times Q$ , their composition is defined as  $R_2 \circ R_1 = \pi_{13}((R_1 \times Q) \cap (Q \times R_2))$ , where  $\pi_{13}: Q \times Q \times Q \rightarrow Q \times Q$  is the projection on the first and third coordinate. We actually have  $R \circ R = R$  both for the free loop case  $R = \Delta$ , as well as for the based loop case  $R = \{(q_0, q_0)\}$ . When  $R_1 \times Q$  and  $Q \times R_2$  intersect cleanly in  $Q^3$ , and the restriction of  $\pi_{13}$  to such an intersection is regular, meaning that the kernel of its differential has constant dimension, then  $R_1$  and  $R_2$  are said to be composable. In this case,  $R_2 \circ R_1$  is a closed submanifold of  $Q \times Q$ , so the Floer homology  $HF_*^{R_2 \circ R_1}(H)$  is still defined.

One can show that the pair-of-pants product  $m_\Delta$  on  $HF_*^\Delta$  and the triangle product  $m_{\{(q_0, q_0)\}}$  on  $HF_*^{\{(q_0, q_0)\}}$  can be unified in terms of a binary operation

$$m_{R_1, R_2}: HF_*^{R_1} \otimes HF_*^{R_2} \rightarrow HF_{*-d(R_1, R_2)}^{R_2 \circ R_1}$$

for composable correspondences. In fact, in (5) we have to replace  $\Delta_{12} \times \Delta_{34}$  for  $s \leq 0$  by  $R_1 \times R_2$ , and  $\Delta_{14} \times \Delta_{23}$  for  $s \geq 0$  by  $(R_2 \circ R_1) \times \Delta_{23}$ . Depending on the correspondences  $R_1$  and  $R_2$ , there is a degree shift  $d(R_1, R_2)$ , which equals the codimension of the clean intersection  $(R_1 \times R_2) \cap (Q \times \Delta \times Q)$  in  $R_1 \times R_2$ .

In general,  $m_{R_1, R_2}$  is isomorphic to a binary operator

$$H_*(\Omega_{R_1} Q) \otimes H_*(\Omega_{R_2} Q) \rightarrow H_{*-d(R_1, R_2)}(\Omega_{R_2 \circ R_1} Q),$$

generalizing the loop product. Such a binary operator is defined as the composition

$$\begin{aligned} H_j(\Omega_{R_1} Q) \otimes H_k(\Omega_{R_2} Q) &\xrightarrow{\times} H_{j+k}(\Omega_{R_1} Q \times \Omega_{R_2} Q) = H_{j+k}(\Omega_{R_1 \times R_2} Q \times Q) \rightarrow \\ &\xrightarrow{i_1} H_{j+k-d}(\Omega_{(R_1 \times R_2) \cap (Q \times \Delta \times Q)} Q \times Q) \longrightarrow H_{j+k-d}(\Omega_{R_2 \circ R_1} Q), \end{aligned}$$

where  $\times$  is the exterior product,  $i_1$  is the Umkehr morphism induced by the  $d$ -co-dimensional and co-oriented inclusion

$$i : \Omega_{(R_1 \times R_2) \cap (Q \times \Delta \times Q)} Q \times Q \hookrightarrow \Omega_{R_1 \times R_2} Q \times Q,$$

and the last homomorphism is induced by the concatenation map.

In this paper, we want to emphasize the general hypothesis, that Floer homology on cotangent bundles should be able to remodel any known algebro-topological structure in classical (co-)homology of loop spaces of closed, oriented manifolds. In fact, there should always be an independent chain level construction which, under the isomorphism  $\Phi$ , is then isomorphic to a corresponding structure on the classical side. This has been carried out successfully with the loop product and the Pontrjagin product, where in fact, for the loop product, it was the pair-of-pants product which had been considered first, whereas the loop product had for whatever reason essentially eluded the topologists attention until [CS99].

In the present paper we want to address in the same light two more product structures on the classical side. One is the cup-product on cohomology, or equivalently a coproduct on the homology of  $\Omega_R Q$ ,

$$\cup : H_*(\Omega_R Q) \rightarrow H_*(\Omega_R Q) \otimes H_*(\Omega_R Q).$$

We give a Floer theoretical construction of such a product, and we prove the following:

**1.3 THEOREM.** *Given a generic triple of quadratic type Hamiltonians, we have a chain level operation  $u : F_*^R(H_1) \rightarrow F_*^R(H_2) \otimes F_*^R(H_3)$  which induces a coproduct  $u_* : HF_*^R \rightarrow HF_*^R \otimes HF_*^R$  isomorphic to the cup-coproduct on  $H_*(\Omega_R Q)$  via the isomorphism  $\Phi_*^R$ .*

An interesting question is, whether the coalgebra structure  $u_*$  on  $HF_*^R$  can be seen to be an algebra homomorphism  $(HF_*^R, m^R) \rightarrow (HF_*^R \otimes HF_*^R, m^R \otimes m^R)$ , or equivalently,  $m^R$  a coalgebra morphism for  $u_*$ . In other words, this is the question of whether  $(HF_*^R, m^R, u_*)$  carries a Hopf algebra structure, which for the based loop space homology  $(H_*(\Omega Q), \#, \cup)$  is classically known to hold. Clearly, the isomorphism  $\Phi^\Omega$  implies that structure also on the Floer side for  $R = (q_o, q_o)$ . In fact, this Hopf algebra property can be verified directly on chain level on the Floer side for the based loop space version without using  $\Phi$ . This, however, is a nontrivial proof, which we will not elaborate in this paper. For general  $R$  with  $R \circ R = R$ , this Hopf algebra property cannot hold already for dimensional reasons, e.g. for the free loop space version  $R = \Delta$ .

The other structure we are interested in is a coproduct derived from the obvious pair-of-pants type coproduct with one entrance and two exits (see [CS09]). This coproduct however, is essentially trivial, but it gives rise to a secondary coproduct on homology of loop space relative to the constant loops,

$$\square : H_*(\Lambda Q, Q) \rightarrow (H_*(\Lambda Q, Q) \otimes H_*(\Lambda Q, Q))_{*-n+1}.$$

This coproduct had been constructed by M. Goresky and N. Hingston in [GH09], and computed for interesting examples such as spheres.

Given the special Hamiltonian  $\frac{1}{2}|p|^2$  with generic and small potential perturbations  $V(t, q)$  we can consider Floer cohomology filtered by the action levels,  $F_{\geq a}^*(H)$ . On the level of cohomology we can perform a limit for the perturbation  $V \rightarrow 0$ , and we have the following:

**1.4 THEOREM.** *For levels  $a, b > 0$ , Floer cohomology comes equipped with a product operation*

$$\tilde{w}: HF_{\geq a}^*(\tfrac{1}{2}|p|^2) \otimes HF_{\geq b}^*(\tfrac{1}{2}|p|^2) \rightarrow HF_{\geq a+b}^{*+n-1}(\tfrac{1}{2}|p|^2),$$

*in particular we have a ring  $(HF_{>0}^*, \tilde{w})$  which under the isomorphism  $\Phi^*$  with  $H^*(\Lambda Q, Q)$  is becomes the Goresky-Hingston product*

In fact, it is possible to replace  $\frac{1}{2}|p|^2$  by any superlinear  $c|p|^{1+\delta}$ ,  $\delta > 0$ . This is not of quadratic type and requires a somewhat different argument for the  $C^0$ -estimates of the moduli spaces involved. In this paper, we give an explicit construction of  $\tilde{w}$ . The proof of the equivalence with  $\square$  will be given else-where.

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## 2 The inverse isomorphism

Let us recall at first the construction of an isomorphism between  $HF_*^R(T^*Q)$  for Hamiltonians  $H$  of quadratic type and path space homology  $H_*(\Omega_R Q)$  from [AS06] and [APS08]. When the Hamiltonian  $H \in C^\infty([0, 1] \times T^*Q)$  satisfies (H1), (H2) and (H3), its Fenchel dual Lagrangian  $L \in C^\infty([0, 1] \times TQ)$  is well-defined and satisfies the analogous quadratic growth and strict convexity assumptions. We denote by  $\mathbb{S}_L^R$  the restriction of the Lagrangian action functional

$$\mathbb{S}_L(\gamma) = \int_0^1 L(t, \gamma, \dot{\gamma}) dt,$$

to the path space  $\Omega_R Q$ . Here  $\Omega_R Q$  carries a  $W^{1,2}$ -Hilbert manifold structure,  $\mathbb{S}_L^R$  is of class  $C^{1,1}$  on  $\Omega_R Q$  and it is twice Gateaux-differentiable. The Hamiltonian  $H$  being non-degenerate with respect to the correspondence  $R$  implies also the non-degeneracy of all critical points of  $\mathbb{S}_L^R$ . This fact allows to construct a smooth negative pseudo-gradient Morse vector field for  $\mathbb{S}_L^R$ , see [AS09]. We denote by  $M_*(\mathbb{S}_L^R)$  the chain complex generated by the critical points  $a \in \text{Crit } \mathbb{S}_L^R$ , graded by the non-negative Morse index  $i(a)$ , with boundary operator  $\partial: M_*(\mathbb{S}_L^R) \rightarrow M_{*-1}(\mathbb{S}_L^R)$  defined by algebraically counting the unparametrized connecting trajectories for the generically chosen negative pseudo-gradient vector field for  $\mathbb{S}_L^R$ . A result from [AM06] shows that  $H_*(M_*(\mathbb{S}_L^R), \partial) \cong H_*(\Omega_R Q)$  in a natural way, i.e. compatible with the continuation isomorphism  $H_*(M_*(\mathbb{S}_L^R), \partial) \cong H_*(M_*(\mathbb{S}_{L'}^R), \partial)$  for homotopies of the Lagrangian.

In [AS06] and generalized for the path spaces  $\Omega_R Q$  in [APS08], a chain complex isomorphism

$$\Phi^R: (M_*(\mathbb{S}_L^R), \partial) \xrightarrow{\cong} (F_*^R(H), \partial)$$

was constructed explicitly building on the Legendre-Fenchel duality of  $H$  and  $L$ . Given generators  $x \in \mathcal{P}_R(H)$ ,  $a \in \text{Crit}(\mathbb{S}_L^R)$ , we have the moduli space of hybrid type trajectories

$$\mathcal{M}_{a;x} = \left\{ u: [0, \infty) \times [0, 1] \rightarrow T^*Q \mid \bar{\partial}_{J,H} u = 0, u(+\infty) = x, (u(s, 0), Cu(s, 1)) \in N^*R, \right. \\ \left. (\pi \circ u)(0, \cdot) \in W^u(\mathbb{S}_L^R; a) \right\}, \quad (7)$$

where  $W^u(\mathbb{S}_L^R; a)$  denotes the unstable manifold of  $a$  for the negative pseudo-gradient flow of  $\mathbb{S}_L^R$ . For generic choices of  $J$  and the pseudo-gradient vector field,  $\mathcal{M}_{a;x}$  is a manifold of dimension  $i(a) - \mu^R(x)$ , where  $\mu^R(x)$  is the Maslov-type index of  $x$  as a solution of the non-local Lagrangian boundary value problem (4) (see [APS08] for the precise definition). Assuming arbitrary orientations for all unstable manifolds  $W^u(\mathbb{S}_L^R; a)$  and using the concept of coherent orientation for Floer



homology according to [FH93], we show in [AS06] that all  $\mathcal{M}_{a;x}$  are orientable in a coherent way, that is, compatible with the splitting-off of boundary trajectories on either side. The compactness proof for this moduli space follows from the energy estimate for  $u \in \mathcal{M}_{a;x}$

$$\mathbb{S}_L(a) \geq \mathbb{S}_L((\pi \circ u)(0)) \geq \mathcal{A}_H(u(0, \cdot)) \geq \mathcal{A}_H(x),$$

with equality if and only if  $\pi \circ x = a$  and  $u$  is constant in  $s$  with  $\pi(u(s, \cdot)) = a$ , in particular  $\#\mathcal{M}_{\pi(x);x} = 1$ . The central estimate is an immediate consequence of the Fenchel-Legendre duality between  $L$  and  $H$ .

As a consequence from the identification of the generating sets, consistent even with index and critical value

$$\pi: \mathcal{P}_R(H) \xrightarrow{\cong} \text{Crit } \mathbb{S}_L^R, \quad i(\pi(x)) = \mu^R(x), \quad \mathbb{S}_L(\pi(x)) = \mathcal{A}_H(x),$$

the chain morphism

$$\Phi^R a = \sum_{\substack{x \in \mathcal{P}_R(H) \\ \mathcal{A}_H(x) \leq \mathbb{S}_L(a)}} (\#_{\text{alg}} \mathcal{M}_{a;x}) \cdot x,$$

gives a chain complex isomorphism, as it is representable by a semi-infinite triangular matrix with  $\pm 1$  on the diagonal.

We now give an equally explicit chain level construction of a chain morphism

$$\Psi^R: F_*^R(H) \rightarrow M_*(\mathbb{S}_L^R)$$

such that at the homology level  $\Psi_*^R = (\Phi_*^R)^{-1}$ . Here, we cannot give an argument why the given  $\Psi^R$  should already be a chain complex isomorphism, certainly not necessarily equal to  $(\Phi^R)^{-1}$ . However, the concrete form of  $\Psi^R$  allows for simpler proofs of ring isomorphism properties of  $\Phi_*^R$ , compared with the construction from [AS10].

Let us consider the moduli space for  $x \in \mathcal{P}_R(H)$ ,

$$\mathcal{M}_x^- = \{u: (-\infty, 0] \times [0, 1] \rightarrow T^*Q \mid \bar{\partial}_{J,H} u = 0, u(-\infty) = x, u(0, \cdot) \in 0_Q, \\ (u(s, 0), Cu(s, 1)) \in N^*R\}. \quad (8)$$

For generic  $J$ , this is a smooth manifold of dimension  $\mu^R(x)$ , compact modulo splitting-off Floer trajectories at  $-\infty$ , in particular  $C_{\text{loc}}^\infty$ -compact. Hence, we find an upper bound  $c = c(x)$  depending on  $x$  for the Lagrangian action of the path  $(\pi \circ u)(0, \cdot) \in \Omega_R Q$ ,

$$\mathbb{S}_L((\pi \circ u)(0, \cdot)) \leq c(x) \quad \text{for all } u \in \mathcal{M}_x^-.$$

Given  $x \in \mathcal{P}_R(H)$ ,  $a \in \text{Crit } \mathbb{S}_L^R$ , we now set

$$\mathcal{M}_{x;a} = \{u \in \mathcal{M}_x^- \mid (\pi \circ u)(0) \in W^s(\mathbb{S}_L^R; a)\},$$

where  $W^s(\mathbb{S}_L^R; a)$  denotes the stable manifold of  $a$ . Provided that  $x \not\subset 0_Q$  or  $\pi \circ x \neq a$  if  $x \subset 0_Q$ , we find for generic  $J$  and pseudo-gradient vector field for  $\mathbb{S}_L^R$  that  $\mathcal{M}_{x;a}$  is a smooth manifold of dimension  $\mu^R(x) - i(a)$ , compact up to splitting-off boundary trajectories, and oriented via coherent orientation. We set

$$\Psi^R: F_*^R(H) \rightarrow M_*(\mathbb{S}_L), \quad \Psi^R x = \sum_{\substack{a \in \text{Crit } \mathbb{S}_L^R \\ \mathbb{S}_L(a) \leq c(x)}} (\#_{\text{alg}} \mathcal{M}_{x;a}) \cdot a,$$

and we obtain a chain complex morphism.

However, in general  $c(x) > \mathcal{A}_H(x)$  is possible, in fact necessary if  $\mathcal{M}_{x;\pi(x)} \neq \emptyset$ , so that we cannot expect  $\Psi^R$  to be of triangular shape similarly to  $\Phi^R$ . In fact,  $\Psi^R$  can easily be defined for any pair  $(H, L)$  of a quadratic type Hamiltonian and a Lagrangian which does not need to be Fenchel dual.

The idea of using half-cylinders with boundary on the zero section of the cotangent bundle in order to provide cycles in the path space from cycles in the Floer chain complex via the evaluation at the zero section has been known for a while. In [CL09] this technique is used towards an isomorphism for linearized contact homology instead of Floer homology.

Let us now give the proof that  $\Psi^R \circ \Phi^R$  is chain homotopy equivalent to  $\text{id}_{M_*(\mathbb{S}_L^R)}$ , which already implies that  $\Psi_*^R = (\Phi_*^R)^{-1}$  since we know  $\Phi_*^R$  to be an isomorphism.

**2.1 PROPOSITION.** *Given  $H$  of quadratic type we have  $\Psi^R \circ \Phi^R \simeq \text{id}$  on  $M_*(\mathbb{S}_L^R)$ .*

*Proof.* Via the usual gluing result for Floer theory we clearly have that  $\Psi^R \circ \Phi^R$  is chain homotopy equivalent to the chain morphism  $M_*(\mathbb{S}_L^R) \rightarrow M_*(\mathbb{S}_L^R)$  defined by counting

$$\begin{aligned} \mathcal{M}_{a,b}^\sigma = \{ & w: [0, \sigma] \times [0, 1] \rightarrow T^*Q \mid \bar{\partial}_{J,H} w = 0, \\ & (w(s, 0), \mathbb{C}w(s, 1)) \in N^*R, w(\sigma, \cdot) \subset 0_Q, \\ & (\pi \circ w)(0, \cdot) \in W^u(\mathbb{S}_L^R; a), (\pi \circ w)(\sigma, \cdot) \in W^s(\mathbb{S}_L^R; b) \} \end{aligned} \quad (9)$$

for  $a, b \in \text{Crit } \mathbb{S}_L^R$  with equal Morse index, and for  $\sigma > 0$  fixed. The chain homotopy to  $\text{id}_{M_*(\mathbb{S}_L^R)}$  then follows from letting  $\sigma$  shrink to 0.

In order to simplify this argument, let us insert a further cobordism step. Namely, we clearly obtain a chain homotopy equivalence to the chain morphism on  $M_*(\mathbb{S}_L^R)$  defined by counting

$$\begin{aligned} \widetilde{\mathcal{M}}_{a,b}^{\sigma, \lambda} = \{ & w: [0, \sigma] \times [0, 1] \rightarrow T^*Q \mid \bar{\partial}_{J,H} w = 0, \\ & (w(s, 0), \mathbb{C}w(s, 1)) \in N^*R, w(\sigma, \cdot) \subset 0_Q, \\ & (\pi \circ w)(0, \cdot) \in W^u(\mathbb{S}_L^R; a), (\pi \circ w)(\lambda, \cdot) \in W^s(\mathbb{S}_L^R; b) \} \end{aligned} \quad (10)$$

for  $\sigma > 0$  fixed and  $\lambda \in [0, \sigma]$  given. For  $\lambda = \sigma$  we have exactly  $\mathcal{M}_{a,b}^\sigma$ , and for  $\lambda = 0$  we obtain

$$\widetilde{\mathcal{M}}_{a,b}^\sigma = \{ (c, w) \mid c \in W^u(\mathbb{S}_L^R; a) \cap W^s(\mathbb{S}_L^R; b), w \in \mathcal{M}_c^\sigma \}$$

with

$$\begin{aligned} \mathcal{M}_c^\sigma = \{ & w: [0, \sigma] \times [0, 1] \rightarrow T^*Q \mid \bar{\partial}_{J,H} w = 0, \\ & (w(s, 0), \mathbb{C}w(s, 1)) \in N^*R, \\ & (\pi \circ w)(0, t) = c(t), (\pi \circ w)(\sigma, t) \in 0_Q \forall t \in [0, 1] \} . \end{aligned} \quad (11)$$

If  $i(a) = i(b)$  we have for  $(c, w) \in \widetilde{\mathcal{M}}_{a,b}^\sigma$  that  $a = b = c$ ,  $w \in \mathcal{M}_a^\sigma$ . The proof of the Proposition then follows from the following

**2.2 LEMMA.** *Given  $c \in \Omega_R Q$  there exists a  $\sigma_o = \sigma_o(c) > 0$  such that for each  $\sigma \in (0, \sigma_o]$  the solution space  $\mathcal{M}_c^\sigma$  contains a unique solution, compatible with coherent orientation.*

In fact, for  $\sigma_n \rightarrow 0$ , the solution sequence  $w_n$  converges uniformly with all derivatives to the path  $(c, 0) \in \Omega_{N^*R} T^*Q$ . Compatibility with coherent orientation implies that

$$\#_{\text{alg}} \mathcal{M}_a^\sigma = \# \mathcal{M}_a^\sigma = 1 \quad \text{for } \sigma \in (0, \sigma_o], a \in \text{Crit } \mathbb{S}_L.$$

Hence, counting  $\widetilde{\mathcal{M}}_{a,b}^\sigma$  for  $\sigma \in (0, \sigma_o]$  defines exactly the identity operator on  $M_*(\mathbb{S}_L^R)$ . This concludes the proof of Proposition 2.1  $\square$

For the proof of Lemma 2.2 we refer to Proposition 4.10 in [AS10]. It follows from a uniform convergence analysis of solutions  $w_n \in \mathcal{M}_c^{\sigma_n}$  as  $\sigma_n \rightarrow 0$  together with a Newton type method to prove the unique existence of solutions for  $\sigma$  small enough. Note that, for example for  $H_o = \frac{1}{2}|p|^2$ , a first order approximation of solutions  $w \in \mathcal{M}_c^\sigma$  is given by  $w_{\text{approx}}^\sigma(s, t) = (c(t), (\sigma - s)\dot{c}(t))$ , where we identify  $TQ \cong T^*Q$  via the Legendre transformation from  $H_o$ .

Moreover, there is also a parametric version of Lemma 2.2, where we allow  $c$  to vary in a relatively compact family  $K \subset \Omega_R Q$ , for example an unstable manifold  $W^u(\mathbb{S}_L; a)$ . This would be the version in order to show  $\Psi^R \circ \Phi^R \simeq \text{id}$  directly by considering  $\mathcal{M}_{a,b}^\sigma$  above for  $\sigma$  running from  $\infty$  to 0.

### 3 Cup-Product

We now show that also the cup-coproduct structure on path space homology

$$\cup: H_*(\Omega_R Q) \rightarrow H_*(\Omega_R Q) \otimes H_*(\Omega_R Q)$$

has a Floer theoretic counterpart given by a chain level construction, isomorphic to  $\cup$  via  $\Phi^R$ .

Given three  $R$ -nondegenerate Hamiltonians  $H_i$ ,  $i = 1, 2, 3$ , we define a chain operation

$$u: F_*^R(H_1) \rightarrow F_*^R(H_2) \otimes F_*^R(H_3)$$

as follows. Given generators  $x_i \in \mathcal{P}_R(H_i)$ ,  $i = 1, 2, 3$ , we consider three-fold Floer half-strips coupled by a conormal boundary condition

$$\begin{aligned} \mathcal{M}_{x_1; x_2, x_3}^{\cup, R} = \{ & u = (u_1, \bar{u}_2, \bar{u}_3): (-\infty, 0] \times [0, 1] \rightarrow T^*Q^3 \mid \\ & \bar{\partial}_{J, H_i} u_i = 0, \ i = 1, 2, 3, \\ & u_i(-\infty, \cdot) = x_i, \ (u_i(s, 0), Cu_i(s, 1)) \in N^*R, \ -\infty < s \leq 0, \\ & u(0, t) \in N^*\Delta^{(3)} \}, \end{aligned} \quad (12)$$

where  $\bar{u}_i(s, t) = Cu_i(-s, t)$  and  $\Delta^{(3)} = \{(q, q, q) \mid q \in Q\} \subset Q^3$ . Note that the conormal condition  $u(0, \cdot) \in N^*\Delta^{(3)}$  means that

$$\begin{aligned} \pi \circ u_1(0, \cdot) &= \pi \circ u_2(0, \cdot) = \pi \circ u_3(0, \cdot) =: q(\cdot) \quad \text{and} \\ u_1(0, \cdot) &= u_2(0, \cdot) + u_3(0, \cdot) \quad \text{in } T_{q(\cdot)}^*Q. \end{aligned} \quad (13)$$

Hence, we have a well-posed Fredholm problem for  $\mathcal{M}_{x_1; x_2, x_3}^{\cup, R}$  with

$$\dim \mathcal{M}_{x_1; x_2, x_3}^{\cup, R} = \mu^R(x_1) - \mu^R(x_2) - \mu^R(x_3).$$

For the index formula for half-strips with piecewise conormal boundary condition see [AS10], Theorem 5.24 and 5.25. It remains to provide an energy estimate in order to obtain the usual compactness result. We compute with  $u_i(0, \cdot) = (q(\cdot), p_i(\cdot))$  and (13)

$$\begin{aligned} \mathcal{A}_{H_1}(x_1) &\geq \mathcal{A}_{H_1}(u_1(0, \cdot)) = \int_0^1 (\langle p_1, \dot{q} \rangle - H_1(q, p_1)) dt \\ &\stackrel{(13)}{=} \int_0^1 (\langle p_2 + p_3, \dot{q} \rangle - H_1(q, p_1)) dt \\ &= \mathcal{A}_{H_2}(u_2(0, \cdot)) + \mathcal{A}_{H_3}(u_3(0, \cdot)) + \int_0^1 (H_2(q, p_2) + H_3(q, p_3) - H_1(q, p_1)) dt. \end{aligned} \quad (14)$$

Thus, we obtain the required action monotonicity provided that the Hamiltonians satisfy

$$H_1(q, p + p') \leq H_2(q, p) + H_3(q, p') \quad \text{for all } q \in Q, p, p' \in T_q^*Q.$$

For example, this is satisfied for geodesic type Hamiltonians with time-dependent potential perturbation,

$$H_1(t, q, p) = \frac{1}{2}|p|^2 + V(t, q), \quad H_2(t, q, p) = H_3(t, q, p) = |p|^2 + \frac{1}{2}V(t, q).$$

Note that we have canonical isomorphisms  $HF_*^R(H_1) \cong HF_*^R(H_i)$ ,  $i = 2, 3$  from the standard continuation argument. Defining  $u$  by counting  $\mathcal{M}_{x_1; x_2, x_3}^{\cup, R}$  with orientation as usual,

$$u: F_*(H_1) \rightarrow F_*(H_2) \otimes F_*(H_3), \quad u(x) = \sum_{\substack{(y, z) \in \mathcal{P}_R(H_2) \times \mathcal{P}_R(H_3) \\ \mu^R(y) + \mu^R(z) = \mu^R(x)}} (\#_{\text{alg}} \mathcal{M}_{x; y, z}^{\cup, R}) y \otimes z, \quad (15)$$

gives rise to

**3.1 THEOREM.** *The chain level operation  $u: F_*^R(H_1) \rightarrow F_*^R(H_2) \otimes F_*^R(H_3)$  induces a coproduct  $u_*: HF_*^R \rightarrow HF_*^R \otimes HF_*^R$  which is isomorphic to the cup-coproduct on  $H_*(\Omega_R Q)$  via the isomorphism  $\Phi_*^R$ .*

Before proving the ring isomorphism property, let us remark that we have a variety of homotopically equivalent definitions for the cup-coproduct in Floer homology. In fact, given  $x_i \in \mathcal{P}_R(H_i)$ ,  $i = 1, 2, 3$ , we can consider the problem for  $\lambda \in [0, 1]$ ,

$$\begin{aligned} u_1: (-\infty, 0] \times [0, 1] &\rightarrow T^*Q, \quad u_i: [0, \infty) \times [0, 1] \rightarrow T^*Q, \quad i = 2, 3, \\ \bar{\partial}_{J_i, H_i} u_i &= 0; \quad u_1(-\infty) = x_1, \quad u_i(+\infty) = x_i, \quad i = 2, 3, \\ (u_i(s, 0), Cu_i(s, 1)) &\in N^*R, \quad \text{f.a.} \quad 0 \leq |s| < \infty, \quad i = 1, 2, 3, \\ (\pi \circ u_1)(0, \cdot) &= (\pi \circ u_2)(0, \cdot) = (\pi \circ u_3)(0, \cdot) =: q, \quad \text{i.e.} \quad u_i(0, \cdot) = (q, p_i), \quad i = 1, 2, 3, \\ p_1 &= \lambda p_2 + (1 - \lambda)p_3. \end{aligned} \tag{16}$$

This is a well-posed Fredholm problem for all  $\lambda \in [0, 1]$ , and for  $\lambda = 1/2$  we obtain a problem which is essentially equivalent to (12). In order to get compactness for the above problem, it is convenient to assume that the Hamiltonians  $H_1$ ,  $H_2$  and  $H_3$  are physical Hamiltonians with the same kinetic part,

$$H_j(q, p) = \frac{1}{2}|p|^2 + V_j(t, q), \quad \forall j = 1, 2, 3,$$

and that  $J$  is  $C^0$ -close enough to the Levi-Civita almost complex structure  $J_0$ . Under these assumptions we have the following compactness result, where as usual on the space of maps we consider the  $C_{\text{loc}}^\infty$  topology:

**3.2 LEMMA.** *For every triple  $x_j \in \mathcal{P}_R(H_j)$ , the space of solutions  $(\lambda, u_1, u_2, u_3)$  of (16) is pre-compact. Moreover, the existence of a solution  $(\lambda, u_1, u_2, u_3)$  of (16) gives rise to the estimate*

$$\lambda \mathcal{A}_{H_2}(x_2) + (1 - \lambda) \mathcal{A}_{H_3}(x_3) \leq \mathcal{A}_{H_1}(x_1) + \|V_1\|_\infty + \max\{\|V_2\|_\infty, \|V_3\|_\infty\}. \tag{17}$$

*Proof.* By the special form of the Hamiltonians, we have

$$H_1(t, q, \lambda p_2 + (1 - \lambda)p_3) - \lambda H_2(t, q, p_2) - (1 - \lambda)H_3(t, q, p_3) \leq \|V_1\|_\infty + \max\{\|V_2\|_\infty, \|V_3\|_\infty\}.$$

Therefore,

$$\begin{aligned} \mathcal{A}_{H_1}(u_1(0, \cdot)) &= \int p_1 dq - H_1(t, q, p_1) dt = \int (\lambda p_2 + (1 - \lambda)p_3) dq - H_1(t, q, p_1) dt \\ &= \lambda \mathcal{A}_{H_2}(u_2(0, \cdot)) + (1 - \lambda) \mathcal{A}_{H_3}(u_3(0, \cdot)) \\ &\quad - \int (H_1(t, q, p_1) - \lambda H_2(t, q, p_2) - (1 - \lambda)H_3(t, q, p_3)) dt \\ &\geq \lambda \mathcal{A}_{H_2}(u_2(0, \cdot)) + (1 - \lambda) \mathcal{A}_{H_3}(u_3(0, \cdot)) - \|V_1\|_\infty - \max\{\|V_2\|_\infty, \|V_3\|_\infty\}, \end{aligned} \tag{18}$$

and the estimate (17) follows from the bounds

$$\mathcal{A}_{H_1}(u_1(0, \cdot)) \leq \mathcal{A}_{H_1}(x_1), \quad \mathcal{A}_{H_2}(u_2(0, \cdot)) \geq \mathcal{A}_{H_2}(x_2), \quad \mathcal{A}_{H_1}(u_3(0, \cdot)) \geq \mathcal{A}_{H_3}(x_3). \tag{19}$$

By means of an isometric embedding of  $M$  into  $\mathbb{R}^N$  and of the induced isometric embedding of  $T^*M$  into  $\mathbb{R}^N \times \mathbb{R}^N \cong \mathbb{C}^N$ , we can consider the map

$$v: [0, +\infty) \times [0, 1] \rightarrow \mathbb{C}^N, \quad v = \lambda u_2 + (1 - \lambda)u_3.$$

Then by (18), the quantity

$$\begin{aligned} \iint_{[0, +\infty) \times [0, 1]} |\partial_s v|^2 ds dt &\leq \lambda \iint_{[0, +\infty) \times [0, 1]} |\partial_s u_2|^2 ds dt + (1 - \lambda) \iint_{[0, +\infty) \times [0, 1]} |\partial_s u_3|^2 ds dt \\ &= \lambda (\mathcal{A}_{H_2}(u_2(0, \cdot)) - \mathcal{A}_{H_2}(x_2)) + (1 - \lambda) (\mathcal{A}_{H_3}(u_3(0, \cdot)) - \mathcal{A}_{H_3}(x_3)) \\ &\leq \mathcal{A}_{H_1}(x_1) + \|V_1\|_\infty + \max\{\|V_2\|_\infty, \|V_3\|_\infty\} + |\mathcal{A}_{H_2}(x_2)| + |\mathcal{A}_{H_3}(x_3)| \end{aligned}$$

has a uniform bound. Since also  $\|\partial_s u_1\|_2$  is uniformly bounded, because of (18) and (19), the  $L^2$  norm of the  $s$ -derivative of the map

$$w : [0, +\infty) \times [0, 1] \rightarrow \mathbb{C}^N \times \mathbb{C}^N, \quad w(s, t) = (\overline{u_1(-s, t)}, v(s, t)),$$

has a uniform bound. Since  $\|J - J_0\|_\infty$  is small,  $w$  solves a Cauchy-Riemann type equation, and  $w(0, t)$  belongs to the totally real space given by the conormal of the diagonal in  $\mathbb{R}^N \times \mathbb{R}^N$ , the argument of [AS06, Section 1.5] shows that  $w$  is uniformly bounded in  $C^\infty$ . In particular,  $u_1$  and

$$q(t) := \pi \circ u_1(0, t) = \pi \circ u_2(0, t) = \pi \circ u_3(0, t)$$

are uniformly bounded in  $C^\infty$ , and we get uniform upper bounds for

$$\mathcal{A}_{H_2}(u_2(0, \cdot)) \leq \mathbb{S}_{L_2}(q) \quad \text{and} \quad \mathcal{A}_{H_3}(u_3(0, \cdot)) \leq \mathbb{S}_{L_3}(q).$$

Together with the lower bounds of (19), we conclude that  $\|\partial_s u_1\|_2$  and  $\|\partial_s u_3\|_2$  are both uniformly bounded. By [AS06, Theorem 1.14 (iii)] and the usual elliptic bootstrap argument, we conclude that also  $u_2$  and  $u_3$  have uniform  $C^\infty$  bounds.  $\square$

Let now, for given  $\lambda \in [0, 1]$ ,  $W_{x_1; x_2, x_3}^\lambda$  denote the set of solutions of (16) with generically chosen  $J_i$  for each  $u_i$ ,  $i = 1, 2, 3$ , as well as generically chosen triple  $(V_1, V_2, V_3)$  of perturbing potentials. Then, we can define a chain level operation

$$u_\lambda : F_*^R(H_1) \rightarrow \bigoplus_{i+j=*} F_i^R(H_2) \otimes F_j^R(H_3),$$

from counting  $\#_{\text{alg}} W_{x_1; x_2, x_3}^\lambda$ . Using the full solution space  $W_{x_1; x_2, x_3}$  of (16) with variable  $\lambda \in [0, 1]$  and accordingly generically chosen structures  $J_i$  and  $V_i$  and index relation  $\mu^R(x_1) = \mu^R(x_2) + \mu^R(x_3) - 1$  we obtain easily the following:

**3.3 PROPOSITION.** *The induced coproducts  $(u_\lambda)_* : HF_*^R(H_1) \rightarrow HF_*^R(H_2) \otimes HF_*^R(H_3)$  do not depend on  $\lambda \in [0, 1]$ , and they are equal to the cup-coproduct  $u$ .*

In fact, the cup-coproduct (15) is essentially given by  $u_{\frac{1}{2}}$ .

As a consequence, in dual cohomological formulation, we can apply the above action estimates to the notion of cohomologically critical values

$$c^*(\alpha, H) := \sup \{ a \in \mathbb{R} \mid \alpha \in \text{Im} (HF_{\geq a}^*(H) \rightarrow HF^*(H)) \}$$

for given  $\alpha \in HF^*(H)$ , where  $HF_{\geq a}^*$  is the cohomology of the subcochain complex  $F_{\geq a}^*(H) = \mathbb{Z} \{ x \in \mathcal{P}_R(H) \mid \mathcal{A}_H(x) \geq a \}$ , and we are omitting the superscript  $R$ .

We have in this cohomological formulation, with  $\cup$  dual to  $u$ ,

**3.4 COROLLARY.** *Given  $H_i(t, q, p) = \frac{1}{2}|p|^2 + V_i(t, q)$  as above, we have for  $\alpha_i \in HF^*(H_i)$ ,  $i = 1, 2$  with  $\alpha_1 \cup \alpha_2 \in HF^*(H_3)$*

$$c^*(\alpha_1 \cup \alpha_2, H_3) \geq \max(c^*(\alpha_1, H_1), c^*(\alpha_2, H_2)) - \|V_1\|_\infty - \max\{\|V_2\|_\infty, \|V_3\|_\infty\}.$$

We now complete the proof of Theorem 3.1. At first, we give a Morse-homological definition of the cup-product.

Suppose we have three non-degenerate Lagrangians  $L_i$ ,  $i = 1, 2, 3$ , such that  $\mathbb{S}_{L_2}^R$  and  $\mathbb{S}_{L_3}^R$  have no common critical points. Then we define

$$\begin{aligned} \cup : M_*(\mathbb{S}_{L_1}^R) &\rightarrow M_*(\mathbb{S}_{L_2}^R) \otimes M_*(\mathbb{S}_{L_3}^R), \\ \cup a &= \sum_{(b, c) \in \text{Crit } \mathbb{S}_{L_2}^R \times \text{Crit } \mathbb{S}_{L_3}^R} \langle a; b, c \rangle b \otimes c, \end{aligned} \tag{20}$$

where  $\langle a; b, c \rangle$  is the oriented count of

$$W^u(\mathbb{S}_{L_1}^R; a) \cap W^s(\mathbb{S}_{L_2}^R; b) \cap W^s(\mathbb{S}_{L_3}^R; c),$$

provided that we have chosen three generic pseudogradient fields so that the triple intersection is transverse. The dimensions of this intersection is  $i(a) - i(b) - i(c)$ , and the intersection is oriented if the unstable manifolds (which are all finite-dimensional) are oriented.

The usual splitting-off argument for boundary trajectories proves the Leibniz rule for  $\cup$ , and it is well-known see e.g. [BC94] that  $\cup_*$  defines the cup-coproduct. One can also show Morse homologically that the cohomological product  $\cup^*$  satisfies  $\cup^* = \Delta^* \circ \times$ , where  $\times$  is the exterior product and  $\Delta^*$  the pull-back by the diagonal embedding  $\Delta: \Omega_R Q \hookrightarrow \Omega_R Q \times \Omega_R Q$ , for which we have also Morse homological functoriality.

We now want to show that the isomorphism

$$\Psi_*^R: HF_*^R(H) \rightarrow HM_*(\mathbb{S}_L^R)$$

intertwines the coproducts  $u$  and  $\cup_*$ , i.e.

$$\cup \circ \Psi^R \simeq (\Psi^R \otimes \Psi^R) \circ u$$

are chain homotopic on  $F_*^R$ .

Clearly,  $\cup \circ \Psi^R$  is chain homotopic to the operation

$$\begin{aligned} w_1: F_*^R(H) &\rightarrow M_*(\mathbb{S}_{L_2}^R) \otimes M_*(\mathbb{S}_{L_3}^R), \\ w_1(x) &= \sum_{(b,c)} (\#_{\text{alg}} \widetilde{\mathcal{M}}^{(1)}(x; b, c)) \cdot b \otimes c, \\ i(b) + i(c) &= \mu^R(x) \end{aligned} \tag{21}$$

with

$$\widetilde{\mathcal{M}}^{(1)}(x; b, c) = \{ u \in \mathcal{M}_x^- \mid (\pi \circ u)(0, \cdot) \in W^s(\mathbb{S}_{L_2}^R; b) \cap W^s(\mathbb{S}_{L_3}^R; c) \}.$$

Then we find generic  $J$  for  $\mathcal{M}_x^-$  and pseudo-gradient vector fields for  $\mathbb{S}_{L_i}^R$ ,  $i = 2, 3$ , such that  $\widetilde{\mathcal{M}}^{(1)}(x; b, c)$  satisfies transversality for all  $x, b, c$ .

Next, we use Proposition 3.3, which allows us to replace  $u$  by  $u_\lambda$  for  $\lambda = 1$ . We obtain

$$(\Psi^R \otimes \Psi^R) \circ u_0 \simeq w_2,$$

with  $w_2$  given by the oriented count of

$$\begin{aligned} \widetilde{\mathcal{M}}_\sigma^{(2)}(x; b, c) &= \{ (u, v) \mid u \in \mathcal{M}_x^-, (\pi \circ u)(0, \cdot) \in W^s(\mathbb{S}_{L_2}^R; c), \\ &\quad v: [0, \sigma] \times [0, 1] \rightarrow T^*Q, (v(s, 0), Cv(s, 1)) \in N^*R, \\ &\quad (\pi \circ v)(0, \cdot) = (\pi \circ u)(-\sigma, \cdot), \\ &\quad v(\sigma, \cdot) \subset 0_Q, (\pi \circ v)(\sigma, \cdot) \in W^s(\mathbb{S}_{L_3}^R; b) \} \end{aligned} \tag{22}$$

for any fixed  $\sigma > 0$ . Moreover,  $w_2$  is clearly chain homotopic to  $w_3: F_*^R \rightarrow M_* \otimes M_*$  given by

$$\begin{aligned} \widetilde{\mathcal{M}}_\sigma^{(3)}(x; b, c) &= \{ (u, v) \mid u \in \mathcal{M}_x^-, (\pi \circ u)(0, \cdot) \in W^s(\mathbb{S}_{L_2}^R; c), \\ &\quad v: [0, \sigma] \times [0, 1] \rightarrow T^*Q, (v(s, 0), Cv(s, 1)) \in N^*R, \\ &\quad (\pi \circ v)(0, \cdot) = (\pi \circ u)(-\sigma, \cdot), \\ &\quad v(\sigma, \cdot) \subset 0_Q, (\pi \circ v)(\sigma, \cdot) \in W^s(\mathbb{S}_{L_3}^R; b) \}, \end{aligned} \tag{23}$$

which differs from the previous space only for the value of  $s$  for which  $\pi \circ v(s, \cdot)$  belongs to the stable manifold of  $b$ . Finally,  $w_3$  is chain homotopic to  $w_4$  given by

$$\begin{aligned}
\widetilde{\mathcal{M}}_\sigma^{(4)}(x; b, c) &= \{ (u, v) \mid u \in \mathcal{M}_x^-, (\pi \circ u)(0, \cdot) \in W^s(\mathbb{S}_{L_2}^R; c), \\
&\quad v: [0, \sigma] \times [0, 1] \rightarrow T^*Q, (v(s, 0), Cv(s, 1)) \in N^*R, \\
&\quad (\pi \circ v)(0, \cdot) = (\pi \circ u)(0, \cdot) \in W^s(\mathbb{S}_{L_3}^R; b), \\
&\quad v(\sigma, \cdot) \subset 0_Q \} \\
&= \{ (u, v) \mid u \in \widetilde{\mathcal{M}}^{(1)}(x; b, c), \\
&\quad v: [0, \sigma] \times [0, 1] \rightarrow T^*Q, (v(s, 0), Cv(s, 1)) \in N^*R, \\
&\quad (\pi \circ v)(0, \cdot) = (\pi \circ u)(0, \cdot), \\
&\quad v(\sigma, \cdot) \subset 0_Q \}, \\
&= \{ (u, v) \mid u \in \widetilde{\mathcal{M}}^{(1)}(x; b, c), v \in \mathcal{M}_{\pi \circ u(0)}^\sigma \},
\end{aligned} \tag{24}$$

with  $\mathcal{M}_{\pi \circ u(0)}^\sigma$  as in (11). The chain homotopy  $w_4 \simeq w_1$  then follows from Lemma 2.2 if we choose  $\sigma > 0$  small enough. This finishes the proof of Theorem 3.1  $\square$

## 4 The Goreski-Hingston coproduct

Throughout this section, we deal only with periodic boundary conditions, i.e. to the case  $R = \Delta$ . In order to simplify the notation, we omit the superscript  $\Delta$  from all the objects which would require it (such as  $F_*^\Delta$ ,  $\mathbb{S}_L^\Delta$ ,  $\mu^\Delta$ , and so on).

Let us consider the coproduct of degree  $-n$ ,  $w: F_*(H_1) \rightarrow (F_*(H_2) \otimes F_*(H_3))_{*-n}$  defined by counting

$$\begin{aligned}
u &= (u_1, u_2): \mathbb{R} \times [0, 1] \rightarrow T^*(Q \times Q), \quad \text{solving} \\
\bar{\partial}_{J, H_1} u_i &= 0 \text{ for } s \leq 0, i = 1, 2, \\
\bar{\partial}_{J, H_2} u_1 &= \bar{\partial}_{J, H_3} u_2 = 0 \text{ for } s \geq 0, \\
(u_1(s, 0), Cu_1(s, 1), u_2(s, 0), Cu_2(s, 1)) &\in \begin{cases} N^*(\Delta_{14} \times \Delta_{23}), & s \leq 0, \\ N^*(\Delta_{12} \times \Delta_{34}), & s \geq 0, \end{cases}
\end{aligned} \tag{25}$$

with asymptotics  $x \in \mathcal{P}_2(H_1)$  for  $s \rightarrow -\infty$  and  $(y, z) \in \mathcal{P}_1(H_2) \times \mathcal{P}_1(H_3)$  for  $s \rightarrow \infty$ .

Then, completely analogous to the ring isomorphism  $\Phi_*: (H_*(\Lambda Q), \circ) \xrightarrow{\cong} (HF_*, m)$  one can show that  $\Phi_*$  identifies the coproduct  $w$  on  $HF_*$  with the comultiplication

$$\mu := \mu_{0,3}^{\text{top}}: H_*(\Lambda Q) \rightarrow (H_*(\Lambda Q) \otimes H_*(\Lambda Q))_{*-n},$$

of degree  $-n$  from [CS09] (see Theorem 3).

We now give a short argument which explains why this coproduct is essentially trivial, i.e. 0 to large extents. Let us assume for simplicity that  $Q$  is simply connected and hence  $H_0(\Lambda Q) \cong \mathbb{Z}$  generated by 1, where this class 1 is represented by any constant loop  $q_o \in Q \subset \Lambda Q$  as a 0-cycle. Moreover, we denote by  $e = [Q] \in H_n(\Lambda Q)$  the neutral element for the Chas-Sullivan loop product, which is given by the fundamental class of  $Q$ , as an  $n$ -cycle of constant loops. In Floer homology,  $\Phi_*(e)$  is given by the Floer cycle

$$e = \sum_{\mu(x)=n} (\#_{\text{alg}} \mathcal{M}_x^+) \cdot x \in F_n(H), \tag{26}$$

$$\mathcal{M}_x^+ = \{ u: [0, \infty) \times \mathbb{T} \rightarrow T^*Q \mid \bar{\partial}_{J, H} u = 0, u(+\infty) = x, \frac{\partial}{\partial t}(\pi \circ u)(0, \cdot) = 0 \}$$

for a generic  $J$ . We have  $e \circ a = a \circ e = a$  for all  $a \in H_*(\Lambda Q)$  and  $\mu(e) = \alpha \cdot 1 \otimes 1$  for some  $\alpha \in \mathbb{Z}$  by dimensional reasons. In fact, it is not hard to show that

$$\mu(e) = \chi(Q) \cdot 1 \otimes 1. \tag{27}$$

**4.1 LEMMA.** *For any  $a \in H_k(\Lambda Q)$ , we have*

$$\mu(a) = \begin{cases} 0, & \text{if } k \neq n, \\ \beta \cdot 1 \otimes 1, & \text{if } k = n, \end{cases}$$

with  $\beta \cdot 1 = \chi(Q) \cdot (a \circ 1) \in H_0(\Lambda Q)$ .

*Proof.* From [CS09] or the property of  $HF_*$  to be a (noncompact) 2-dimensional topological field theory (see also [CHV06]) it follows that

$$(\text{id} \otimes m) \circ (\mu \otimes \text{id}) = (m \otimes \text{id}) \circ (\text{id} \otimes \mu) = \mu \circ m: H_*(\Lambda Q) \otimes H_*(\Lambda Q) \rightarrow (H_*(\Lambda Q) \otimes H_*(\Lambda Q))_{*-2n} \quad (28)$$

where for notational clarity we write  $m$  for the loop product  $\circ$ . Applying this identity on  $a \otimes e$  and  $e \otimes a$  for the given  $a \in H_k(\Lambda Q)$  gives

$$\begin{aligned} \mu(a) &= (\mu \circ m)(a \otimes e) = (m \times \text{id}) \circ (\text{id} \otimes \mu)(a \otimes e) = \chi(Q) \cdot (m \otimes \text{id})(a \otimes 1 \otimes 1), \\ &= \chi(Q) \cdot m(a, 1) \otimes 1, \quad \text{as well as} \\ &= \chi(Q) \cdot 1 \otimes m(a, 1), \end{aligned} \quad (29)$$

which leaves only the possibility  $\chi(Q) \cdot m(a, 1) = 0$  in the case  $k \neq n$  and  $\mu(a) = \beta \cdot 1 \otimes 1$ ,  $b \cdot 1 = \chi(Q) \cdot m(a, 1)$  if  $k = n$ .  $\square$

Hence, apart from degree  $n$  classes, the coproduct has to be trivial. This, however, can be seen as a possibility to define a secondary structure, namely a coproduct on relative homology  $H_*(\Lambda Q, Q)$ , or equivalently a cohomological product

$$\square: H^*(\Lambda Q, Q) \otimes H^*(\Lambda Q, Q) \rightarrow H^{*+n-1}(\Lambda Q, Q).$$

This cohomological product has been explicitly constructed and carefully analyzed in [GH09]. It gives an interesting nontrivial operation in particular for spheres  $Q = S^n$ .

Here, we now want to give an explicit chain-level construction for the Floer-homological counterpart of  $\square$ . Let us consider a special Hamiltonian of physical type  $H = \frac{1}{2}|p|^2 + V(t, q)$ , where  $V(t, q)$  is only a small potential perturbation in order to achieve Morse-nondegeneracy for the action  $\mathcal{A}_H$ . Let us pick  $V(t, q)$  generically with  $\|V\|_\infty$  small enough compared to the smallest length of a closed geodesic, so that the orbits  $x \in \mathcal{P}_1(H)$  with  $\mathcal{A}_H(x) > \epsilon$  for some  $\epsilon > \|V\|_\infty$  can be seen as the generators of the quotient chain complex  $F_*(H)/F_*^{\leq \epsilon}(H)$  which defines the homology  $HF_*^{\epsilon > 0}(H)$ . Then,  $HF_*(H)^{\epsilon > 0}$  becomes isomorphic to  $H_*(\Lambda Q, Q)$  under  $\Phi_*$  for  $\epsilon > 0$  small enough. Let us denote

$$HF_*^{>0}(T^*Q) := \lim_{\epsilon > \|V\|_\infty \rightarrow 0} HF_*^{\epsilon > 0}(H).$$

We will now construct a coproduct

$$\tilde{w}: HF_*^{>0}(T^*Q) \rightarrow (HF_*^{>0}(T^*Q) \otimes HF_*^{>0}(T^*Q))_{*-n+1}. \quad (30)$$

Given  $0 < \lambda < 1$  we consider the disjoint union of strips

$$\Sigma_\lambda = (-\infty, 0] \times [0, \lambda] \dot{\cup} (-\infty, 0] \times [\lambda, 1].$$

Given 1-periodic solutions  $x_i \in \mathcal{P}_1(H_i)$ ,  $i = 1, 2, 3$  with  $H_i = \frac{1}{2}|p|^2 + V_i(t, q)$  for a generic triple of small perturbations as above  $(V_1, V_2, V_3)$ , we consider  $\widetilde{\mathcal{M}}_{x_1; x_2, x_3}$  as the space of solutions



$(u, v, w, \lambda)$  of

$$\begin{aligned}
& \lambda \in (0, 1), \quad u: \Sigma_\lambda \rightarrow T^*Q, \quad (v, w): [0, \infty) \times \mathbb{T} \rightarrow T^*Q, \\
& (v(+\infty), w(+\infty)) = (x_2, x_3), \quad u(-\infty, t) = x_1(t) \text{ for } 0 \leq t \leq 1, \\
& \bar{\partial}_{J, H_2} v = \bar{\partial}_{J, H_3} w = 0, \\
& \bar{\partial}_{J, H_1} u(s, t) = 0 \text{ for all } 0 \leq t \leq 1, \quad s \leq -1, \\
& \bar{\partial}_{J, \frac{1}{2}|p|^2} u(s, t) = 0 \text{ for all } 0 \leq t \leq 1, \quad -1 \leq s \leq 0, \\
& (u(s, 0), u(s, \lambda+)) = \begin{cases} (u(s, \lambda-), u(s, 1)), & -1 \leq s \leq 0, \\ (u(s, 1), u(s, \lambda-)), & s \leq -1, \end{cases} \\
& v(0, t) = u(0, \lambda t), \quad w(0, t) = u(0, \lambda + (1 - \lambda)t) \text{ for all } 0 \leq t \leq 1.
\end{aligned} \tag{31}$$

Note that the variation of  $\lambda \in (0, 1)$  can be equivalently regarded as a particular variation of the conformal structure on a pair-of-pants surface  $\bar{\Sigma}$  with boundary, given by  $\Sigma_{\frac{1}{2}}$  sewed along  $(s, 0) = (s, \frac{1}{2}-)$  and  $(s, \frac{1}{2}+) = (s, 1)$  for  $-1 \leq s \leq 0$  and  $(s, 0) = (s, 1)$  and  $(s, \frac{1}{2}-) = (s, \frac{1}{2}+)$  for  $s \leq -1$ . In fact,  $\bar{\Sigma}$  relative to  $\partial\bar{\Sigma}$  has a topologically nontrivial Riemann moduli space and in order to define  $\tilde{w}$  we are using a particular 1-cycle in its homology relative to its Deligne-Mumford compactification.

Again, it is not hard to show that for generic choices of  $J$  and  $(V_1, V_2, V_3)$ ,  $\widetilde{\mathcal{M}}_{x_1; x_2, x_3}$  is a smooth manifold of dimension

$$\dim \widetilde{\mathcal{M}}_{x_1; x_2, x_3} = \mu(x_1) - \mu(x_2) - \mu(x_3) - n + 1. \tag{32}$$

In order to obtain the important compactness modulo splitting-off of Floer-trajectories, let us compute the energy estimate. We clearly have  $\lambda|p|^2, (1 - \lambda)|p|^2 \leq |p|^2$  for all  $\lambda \in [0, 1]$ . Hence we have for any solution  $(u, v, w, \lambda) \in \widetilde{\mathcal{M}}_{x_1; x_2, x_3}$

$$\begin{aligned}
\mathcal{A}_{\frac{1}{2}|p|^2}(v(0)) & \leq \mathcal{A}_{\lambda\frac{1}{2}|p|^2}(v(0)) = \mathcal{A}_{\frac{1}{2}|p|^2}(u(0, \cdot)_{|[0, \lambda]}) \quad \text{and} \\
\mathcal{A}_{\frac{1}{2}|p|^2}(w(0)) & \leq \mathcal{A}_{(1-\lambda)\frac{1}{2}|p|^2}(w(0)) = \mathcal{A}_{\frac{1}{2}|p|^2}(u(0, \cdot)_{|[\lambda, 1]}).
\end{aligned}$$

Using  $\epsilon \geq \|V_i\|_\infty$ , we have

$$\begin{aligned}
\mathcal{A}_{\frac{1}{2}|p|^2}(u(-1, \cdot)) & = \mathcal{A}_{H_1}(u(-1, \cdot)) + \int_0^1 V_1(t, (\pi \circ u)(-1, t)) dt \\
& \leq \mathcal{A}_{H_1}(x_1) - \int_{-\infty}^{-1} \int_0^1 |\partial_s u|^2 ds dt + \epsilon
\end{aligned}$$

and

$$\begin{aligned}
\mathcal{A}_{\frac{1}{2}|p|^2}(u(0, \cdot)) & = \mathcal{A}_{\frac{1}{2}|p|^2}(u(0, \cdot)_{|[0, \lambda]}) + \mathcal{A}_{\frac{1}{2}|p|^2}(u(0, \cdot)_{|[\lambda, 1]}) \\
& \leq \mathcal{A}_{\frac{1}{2}|p|^2}(u(-1, \cdot)) - \int_{-1}^0 \int_0^1 |\partial_s u|^2 ds dt.
\end{aligned}$$

Assembling all this gives

$$\begin{aligned}
\mathcal{A}_{H_2}(x_2) & \leq \mathcal{A}_{\frac{1}{2}|p|^2}(v(0, \cdot)) - \iint_0^\infty |\partial_s v|^2 ds dt + \epsilon \\
\mathcal{A}_{H_3}(x_3) & \leq \mathcal{A}_{\frac{1}{2}|p|^2}(w(0, \cdot)) - \iint_0^\infty |\partial_s w|^2 ds dt + \epsilon
\end{aligned}$$

and

$$\begin{aligned}
\mathcal{A}_{H_2}(x_2) + \mathcal{A}_{H_3}(x_3) & \leq \mathcal{A}_{\frac{1}{2}|p|^2}(u(0, \cdot)) - \iint |\partial_s v|^2 ds dt - \iint |\partial_s w|^2 ds dt + 2\epsilon \\
& \leq \mathcal{A}_{H_1}(x_1) - E(u, v, w) + 3\epsilon,
\end{aligned}$$

that is

$$0 \leq E(u, v, w) \leq \mathcal{A}_{H_1}(x_1) - \mathcal{A}_{H_2}(x_2) - \mathcal{A}_{H_3}(x_3) + 3\epsilon, \quad (33)$$

with

$$E(u, v, w) = \int_{-\infty}^0 \int_0^1 |\partial_s u|^2 ds dt + \int_0^\infty \int_0^1 (|\partial_s v|^2 + |\partial_s w|^2) ds dt.$$

With the usual arguments from the compactness theory for Floer trajectories in  $T^*Q$  for Hamiltonians of quadratic type, we see that  $\mathcal{M}_{x_1; x_2, x_3}$  is  $C_{\text{loc}}^\infty$ -precompact.

The only new case here concerns sequences  $(u_n, v_n, w_n, \lambda_n) \in \widetilde{\mathcal{M}}_{x_1; x_2, x_3}$  with  $\lambda_n \rightarrow 0$  or  $\lambda_n \rightarrow 1$ . Assume without loss of generality  $\lambda_n \rightarrow 0$ . After choosing a  $C_{\text{loc}}^\infty$ -convergent subsequence we view the restriction  $u_n|_{[-1, 0] \times [0, \lambda_n]}$  as

$$\begin{aligned} u_n : [-1, 0] \times \mathbb{R} &\rightarrow T^*Q, \quad \bar{\partial}_{J, \frac{1}{2}|p|^2} u_n = 0 \quad \text{and} \\ u_n(s, t + \lambda_n) &= u_n(s, t) \quad \text{for all } (s, t) \in [-1, 0] \times \mathbb{R}. \end{aligned}$$

We have  $u_n \rightarrow u_\infty$  in  $C_{\text{loc}}^\infty$ ,

$$u_\infty : [-1, 0] \times \mathbb{R} \rightarrow T^*Q, \quad \partial_t u_\infty \equiv 0,$$

that is,  $u_\infty(0) \in T^*Q$  is a point. On the other side

$$v_n(0, t) = u_n(0, \lambda_n t) \text{ f.a. } t \in \mathbb{R}, n \in \mathbb{N}, \quad \text{and} \quad v_n \xrightarrow{C_{\text{loc}}^\infty} v_\infty.$$

It follows that  $v_\infty(0, t) = u_\infty(0)$  for all  $t \in \mathbb{R}$ . Hence

$$\mathcal{A}_{\frac{1}{2}|p|^2}(v_n(0)) \rightarrow \mathcal{A}_{\frac{1}{2}|p|^2}(u_\infty(0)) = -\frac{1}{2}|w_\infty(0)|^2 \leq 0,$$

and thus

$$\mathcal{A}_{H_2}(x_2) \leq \epsilon - \frac{1}{2}|u_\infty(0)|^2 \leq \epsilon.$$

This proves

**4.2 PROPOSITION.** *If  $\mathcal{A}_{H_2}(x_2), \mathcal{A}_{H_3}(x_3) > \epsilon \geq \max(\|V_2\|_\infty, \|V_3\|_\infty)$ , then for all  $x_1 \in \mathcal{P}_1(H_1)$ , the solution space  $\widetilde{\mathcal{M}}_{x_1; x_2, x_3}$  is compact modulo splitting of Floer trajectories.*

By counting the 0-dimensional solutions of  $\widetilde{\mathcal{M}}_{x_1; x_2, x_3}$  we obtain a well-defined cochain operation on the Floer cochain complexes from the ascending  $\mathcal{A}_H$ -flow,

$$\begin{aligned} \tilde{w}^\bullet : F_{\geq a}^k(H_2) \otimes F_{\geq b}^l(H_3) &\rightarrow F_{\geq a+b-3\epsilon}^{k+l+n-1}(H_1) \\ \tilde{w}^\bullet(x, y) &= \sum_z \#_{\text{alg}} \widetilde{\mathcal{M}}_{z; x, y} z, \end{aligned}$$

for all  $a, b > \epsilon$ .

After using the usual continuation isomorphism of Floer theory in order to eliminate the perturbation  $V_i$  of  $H = \frac{1}{2}|p|^2$ , we obtain the product

$$\tilde{w} : HF_{\geq a}^k(H) \otimes HF_{\geq b}^l(H) \rightarrow HF_{\geq a+b}^{k+l+n-1}(H)$$

for all positive  $a, b > 0$  and a ring  $(HF_{>0}^*(H), \tilde{w})$ .

The proof that this product on cohomology is isomorphic to  $\square$  from [GH09] will appear elsewhere.

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