

ADDENDUM 15 : SEIBERG-WITTEN INVARIANTS (MORE DETAILS)

HERE WE COLLECT A FEW MISC. OBSERVATIONS AND ARGUMENTS FOR WHICH THERE WAS NO TIME IN LECTURE.

1. SPIN AND SPIN^c STRUCTURES VIA TRANSITION MAPS

$$SO(4) \hookrightarrow F_{SO}(M) \xrightarrow{\pi_{SO}} M$$

$\{U_\alpha\}_{\alpha \in \mathcal{A}}$ A TRIVIALIZING COVER OF M WITH TRIVIALIZATIONS

$$\varphi_\alpha : \pi_{SO}^{-1}(U_\alpha) \longrightarrow U_\alpha \times SO(4)$$

$$\varphi_\alpha(p) = (\pi_{SO}(p), g_\alpha(p))$$

CORRESPONDING TRANSITION MAPS

$$g_{\alpha\beta} : U_\alpha \cap U_\beta \longrightarrow SO(4)$$

$$g_\alpha(p) = g_{\alpha\beta}(\pi_{SO}(p)) g_\beta(p)$$

NOW, A SPIN STRUCTURE ON M CONSISTS OF A PRINCIPAL

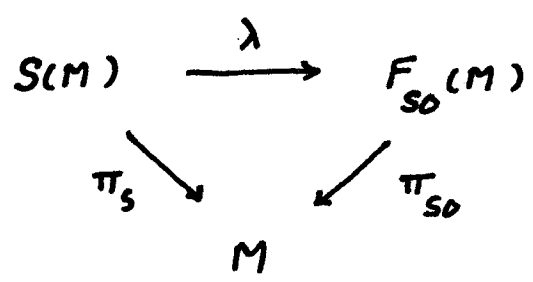
SPIN(4) - BUNDLE

$$SPIN(4) \hookrightarrow S(M) \xrightarrow{\pi_S} M$$

OVER M TOGETHER WITH A SMOOTH MAP

$$\lambda : S(M) \rightarrow F_{SO}(M)$$

SUCH THAT



COMMUTES AND

$$\lambda(p \cdot g) = \lambda(p) \cdot \text{SPIN}(g)$$

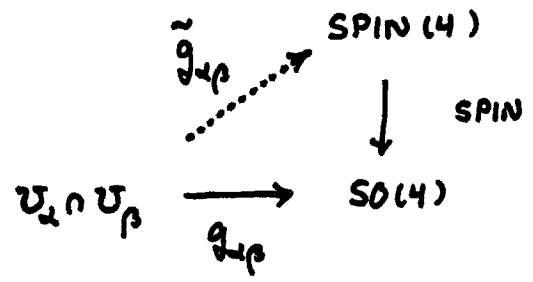
$\forall p \in S(M) \forall g \in \text{SPIN}(4)$, WHERE $\text{SPIN} : \text{SPIN}(4) \rightarrow \text{SO}(4)$ IS THE DOUBLE COVER (APPENDIX 14).

THEOREM : LET M BE AN ORIENTED, RIEMANNIAN 4-MANIFOLD WITH ORIENTED ORTHONORMAL FRAME BUNDLE

$$\text{SO}(4) \hookrightarrow F_{SO}(M) \xrightarrow{\pi_{SO}} M.$$

A SPIN STRUCTURE EXISTS IF AND ONLY IF \exists TRIVIALIZING COVER $\{U_\alpha\}_{\alpha \in \mathcal{A}}$ OF M FOR $F_{SO}(M)$ FOR WHICH THE TRANSITION MAPS

$g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow \text{SO}(4)$ LIFT TO A FAMILY OF MAPS TO $\text{SPIN}(4)$



SATISFYING THE COCYCLE CONDITION $\tilde{g}_{\alpha\beta} \tilde{g}_{\beta\gamma} \tilde{g}_{\gamma\alpha} = \text{id}$.

PROOF: SUPPOSE FIRST THAT A SPIN STRUCTURE EXISTS. LET $\{U_\alpha\}_{\alpha \in A}$ BE A TRIVIALIZING COVER OF M FOR BOTH $SL(M)$ AND $F_{SO}(M)$. SELECT LOCAL SECTIONS

$$\tilde{\Delta}_\alpha : U_\alpha \rightarrow \pi_S^{-1}(U_\alpha) = \lambda^{-1}(\pi_{SO}^{-1}(U_\alpha))$$

AND LET THE CORRESPONDING TRANSITION MAPS BE

$$\tilde{g}_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow \text{SPIN}(4).$$

(THESE NECESSARILY SATISFY THE COCYCLE CONDITION SINCE THEY ARE TRANSITION MAPS).

DEFINE

$$\Delta_\alpha : U_\alpha \rightarrow \pi_{SO}^{-1}(U_\alpha)$$

$$\Delta_\alpha = \lambda \circ \tilde{\Delta}_\alpha$$

$\forall \alpha \in A$. THESE ARE SECTIONS OF $F_{SO}(M)$ SINCE

$$\pi_{SO} \circ \Delta_\alpha = (\pi_{SO} \circ \lambda) \circ \tilde{\Delta}_\alpha = \pi_S \circ \tilde{\Delta}_\alpha = \text{id}. \text{ THUS, THEY}$$

DETERMINE TRIVIALIZATIONS OF $F_{SO}(M)$ AND WE CLAIM THAT THE CORRESPONDING TRANSITION MAPS $g_{\alpha\beta}$ ARE GIVEN BY

$$g_{\alpha\beta} = \text{SPIN} \circ \tilde{g}_{\alpha\beta}. \text{ THIS FOLLOWS FROM}$$

$$\begin{aligned} \Delta_\beta(x) &= \lambda(\tilde{\Delta}_\beta(x)) = \lambda(\tilde{\Delta}_\alpha(x) \cdot \tilde{g}_{\alpha\beta}(x)) \\ &= \lambda(\tilde{\Delta}_\alpha(x)) \cdot \text{SPIN}(\tilde{g}_{\alpha\beta}(x)) \\ &= \Delta_\alpha(x) \cdot (\text{SPIN} \circ \tilde{g}_{\alpha\beta})(x). \end{aligned}$$

FOR THE CONVERSE, SUPPOSE $F_{SO}(M)$ HAS A TRIVIALIZING COVER $\{U_\alpha\}_{\alpha \in X}$ FOR WHICH THE TRANSITION FUNCTIONS $g_{\alpha\beta}$ LIFT

$$\begin{array}{ccc} & \text{SPIN}(4) & \\ \tilde{g}_{\alpha\beta} \nearrow & \downarrow \text{SPIN} & \\ U_\alpha \cap U_\beta & \xrightarrow{g_{\alpha\beta}} & \text{SO}(4) \end{array}$$

TO MAPS $\tilde{g}_{\alpha\beta}$ WHICH SATISFY THE COCYCLE CONDITION. THIS CONDITION INSURES THAT \exists UNIQUE (UP TO EQUIVALENCE) BUNDLE

$$\text{SPIN}(4) \hookrightarrow \text{SO}(4) \xrightarrow{\pi_S} M$$

TRIVIALIZED OVER $\{U_\alpha\}_{\alpha \in X}$ WITH TRANSITION MAPS $\tilde{g}_{\alpha\beta}$.

LET $\Delta_\alpha : U_\alpha \rightarrow \pi_{SO}^{-1}(U_\alpha)$ AND $\tilde{\Delta}_\alpha : U_\alpha \rightarrow \pi_S^{-1}(U_\alpha)$ BE THE SECTIONS ASSOCIATED WITH THE TRIVIALIZATIONS OF $F_{SO}(M)$ AND $\text{SO}(4)$, RESPECTIVELY. THUS, THE TRIVIALIZATIONS ARE GIVEN BY

$$\Psi_\alpha : \pi_{SO}^{-1}(U_\alpha) \rightarrow U_\alpha \times \text{SO}(4)$$

$$\Psi_\alpha(\Delta_\alpha(x) \cdot h) = (x, h)$$

$$\tilde{\Psi}_\alpha : \pi_S^{-1}(U_\alpha) \rightarrow U_\alpha \times \text{SPIN}(4)$$

$$\tilde{\Psi}_\alpha(\tilde{\Delta}_\alpha(x) \cdot g) = (x, g)$$

NOW DEFINE

$$\lambda_\alpha : \pi_S^{-1}(U_\alpha) \rightarrow \pi_{SO}^{-1}(U_\alpha)$$

$$\lambda_\alpha = \psi_\alpha^{-1} \circ (\text{id}_{U_\alpha} \times \text{SPIN}) \circ \tilde{\psi}_\alpha$$

THEN

$$\lambda_\alpha(\tilde{\alpha}_\alpha(x) \cdot g) = \psi_\alpha^{-1} \circ (\text{id}_{U_\alpha} \times \text{SPIN})(x, g)$$

$$= \psi_\alpha^{-1}(x, \text{SPIN}(g))$$

$$= \alpha_\alpha(x) \cdot \text{SPIN}(g)$$

SO

$$\pi_{SO} \circ \lambda_\alpha = \pi_S$$

AND $\lambda_\alpha(p \cdot g) = p \cdot \text{SPIN}(g)$. MOREOVER, λ_α AND λ_β AGREE ON $U_\alpha \cap U_\beta$ WHENEVER THIS IS NONEMPTY SO THESE MAPS DETERMINE $\lambda : S(M) \rightarrow F_{SO}(M)$ WITH THE REQUIRED PROPERTIES. \square

TRANSLATED INTO THE LANGUAGE OF ČECH COHOMOLOGY WITH \mathbb{Z}_2 COEFFICIENTS THIS BECOMES

AN ORIENTED RIEMANNIAN 4-MANIFOLD M ADMITS

A SPIN STRUCTURE IF AND ONLY IF ITS 2ND

STIEFEL-WHITNEY CLASS $w_2(M) \in \check{H}^2(M; \mathbb{Z}_2)$

IS TRIVIAL.

(SEE PAGES 388 - 404 OF REFERENCE [41] FOR THE DETAILS)

IN EXACTLY THE SAME WAY ONE SHOWS THAT M ADMITS A SPIN^c STRUCTURE

$$\text{SPIN}^c(4) \hookrightarrow \hat{S}(M) \xrightarrow{\pi_{\text{SPIN}^c}} M$$

$$\Lambda : \hat{S}(M) \rightarrow F_{\text{SO}}(M)$$

IF AND ONLY IF THE TRANSITION MAPS $g_{\alpha\beta}$ FOR SOME TRIVIALIZING COVER FOR $F_{\text{SO}}(M)$ LIFT

$$\begin{array}{ccc} & \text{SPIN}^c(4) & \\ \tilde{g}_{\alpha\beta} \nearrow & \downarrow \pi & \\ U_\alpha \cap U_\beta & \xrightarrow{g_{\alpha\beta}} & \text{SO}(4) \end{array}$$

TO MAPS SATISFYING THE COCYCLE CONDITION.

SUCH A LIFTING, TOGETHER WITH THE MAP

$$S : \text{SPIN}^c(4) \rightarrow \mathcal{U}(1)$$

$$\begin{aligned} S(\xi) &= S \begin{pmatrix} U_+ & 0 \\ 0 & U_- \end{pmatrix} = S \begin{pmatrix} e^{\theta i} U_+ & 0 \\ 0 & e^{\theta i} U_- \end{pmatrix} \\ &= \det U_+ = \det U_- = e^{2\theta i} \end{aligned}$$

GIVES THE PRINCIPAL $\mathcal{U}(1)$ -BUNDLE, AND CORRESPONDING HERMITIAN COMPLEX LINE BUNDLE, WITH TRANSITION MAPS

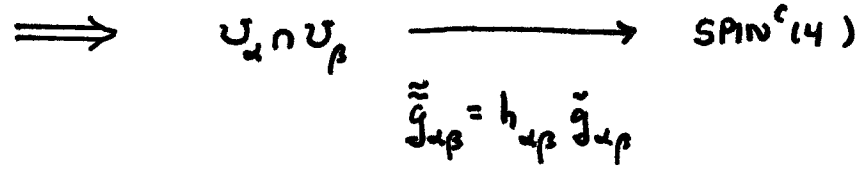
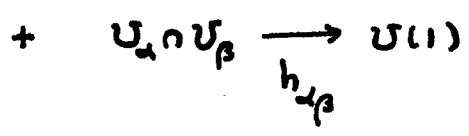
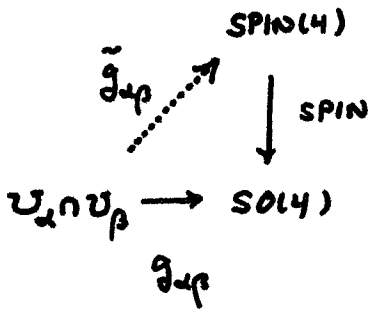
$$S \circ \tilde{g}_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow \mathcal{U}(1)$$

NOTE: IF THE SPIN^c STRUCTURE IS DENOTED \mathcal{L} ,
 THEN THESE ARE $L^0(\mathcal{L})$ AND $L(\mathcal{L})$.

CAN SHOW THAT $w_2(M)$ IS THE MOD 2 REDUCTION OF THE 1ST CHERN CLASS OF THIS $U(1)$ -BUNDLE. MOREOVER,

SPIN STRUCTURE + HERMITIAN LINE BUNDLE WHOSE 1ST CHERN CLASS REDUCES MOD 2 TO $w_2(M)$

\implies SPIN^c STRUCTURE



NOW LET'S SEE WHAT HAPPENS IF

SPIN STRUCTURE + LINE BUNDLE \implies SPIN^c STRUCTURE \implies LINE BUNDLE

$$\begin{aligned} & \tilde{g}_{\alpha\beta} \\ + & \\ & h_{\alpha\beta} \end{aligned} \Rightarrow \tilde{\tilde{g}}_{\alpha\beta} = h_{\alpha\beta} \tilde{g}_{\alpha\beta} \Rightarrow \mathcal{S}_0 \tilde{\tilde{g}}_{\alpha\beta} = \mathcal{S}_0 (h_{\alpha\beta} \tilde{g}_{\alpha\beta}) = h_{\alpha\beta}^2$$

WHICH ARE THE TRANSITION
MAPS FOR THE (TENSOR)
SQUARE OF THE ORIGINAL
LINE BUNDLE.

IN THIS CASE THE DETERMINANT LINE BUNDLE L FOR THE RESULTING
 Spin^c STRUCTURE HAS A (TENSOR) SQUARE ROOT (THE HERMITIAN
LINE BUNDLE SELECTED TO SUPPLEMENT THE SPIN STRUCTURE)
WHICH ONE CAN WRITE $L^{\frac{1}{2}}$.

NOTE : THE SPIN STRUCTURE $\text{Spin}(4) \hookrightarrow \text{SU}(2) \times \text{SU}(2) \xrightarrow{\pi_S} M$
AND THE REPRESENTATIONS $\Delta_{\mathbb{C}}^{\pm} : \text{Spin}(4) \rightarrow \text{SU}(2_{\mathbb{C}}^{\pm})$
GIVE ASSOCIATED POSITIVE AND NEGATIVE (REAL) SPINOR
BUNDLES WHICH ONE OFTEN SEES DENOTED W^{\pm} . THE
CORRESPONDING (COMPLEX) SPINOR BUNDLES ASSOCIATED
WITH THE Spin^c STRUCTURE CONSTRUCTED FROM $L^{\frac{1}{2}}$ AND
THE SPIN STRUCTURE ARE THEN

$$W^{\pm} \otimes L^{\frac{1}{2}}$$

REGRETTABLY, ONE OFTEN SEES S^{\pm} WRITTEN THIS WAY
EVEN WHEN THE Spin^c STRUCTURE DOES NOT COME FROM A
SPIN STRUCTURE (SO THAT W^+ , W^- AND $L^{\frac{1}{2}}$ DO NOT EXIST).

2. DIRAC OPERATOR INDEPENDENT OF ORIENTED, ORTHONORMAL FRAME FIELD

RECALL : ON THE Spin^c -BUNDLE $\text{Spin}^c(4) \hookrightarrow S^c(M) \rightarrow M$ WE HAVE A Spin^c -CONNECTION ω_A WHICH GIVES A COVARIANT DERIVATIVE

$$\nabla = \nabla_A : T(S(\mathcal{L})) \rightarrow \Omega^1(M) \otimes T(S(\mathcal{L}))$$

ON SPINOR FIELDS. CHOOSE A LOCAL, ORIENTED, ORTHONORMAL FRAME FIELD $\{E_1, E_2, E_3, E_4\}$ ON M . DEFINE

$$\tilde{\nabla}_A \Psi = \sum_{i=1}^4 E_i \cdot \nabla_A \Psi(E_i)$$

NOW LET $\{\hat{E}_1, \hat{E}_2, \hat{E}_3, \hat{E}_4\}$ BE ANOTHER ORIENTED, ORTHONORMAL FRAME FIELD (W.L.O.G., ON THE SAME OPEN SET IN M AS $\{E_1, E_2, E_3, E_4\}$). POINTWISE,

$$\hat{E}_i = \sum_{j=1}^4 B_{i,j} E_j,$$

WHERE $(B_{i,j}) \in \text{SO}(4)$. THEN, FOR ANY SECTION $\Delta \in T(S(\mathcal{L}))$

$$\sum_{i=1}^4 \hat{E}_i \cdot (\nabla_A \Psi(\hat{E}_i))(\Delta) = \sum_{i=1}^4 \left(\sum_{j=1}^4 B_{i,j} E_j \right) \cdot \left(\left(\sum_{k=1}^4 B_{i,k} \nabla_A \Psi(E_k) \right)(\Delta) \right)$$

SINCE $\nabla_A \Psi(\cdot)$ IS LINEAR

$$\begin{aligned} &= \sum_{i,j,k=1}^4 B_{i,j} B_{i,k} E_j \cdot \nabla_A \Psi(E_k)(\Delta) \\ &= \sum_{j,k=1}^4 \delta_{j,k} E_j \cdot \nabla_A \Psi(E_k)(\Delta) \\ &= \sum_{j=1}^4 E_j \cdot \nabla_A \Psi(E_j)(\Delta) \quad \text{AS REQUIRED.} \end{aligned}$$

3. SEIBERG-WITTEN GAUGE GROUP

$M =$ COMPACT, CONNECTED, SIMPLY CONNECTED, ORIENTED, SMOOTH 4-MANIFOLD

$g =$ RIEMANNIAN METRIC ON M

$$SO(4) \hookrightarrow F_{SO}(M) \xrightarrow{\pi_{SO}} M$$

$$\begin{array}{ccc} \text{SPIN}^c \text{ STRUCTURE } \mathcal{L} : & \text{SPIN}^c(4) \hookrightarrow & S^c(M) \xrightarrow{\pi_{S^c}} M \\ & & \downarrow \Lambda \\ & SO(4) \hookrightarrow & F_{SO}(M) \xrightarrow{\pi_{SO}} M \end{array}$$

$A =$ CONNECTION ON DETERMINANT LINE BUNDLE $\mathcal{L}(1) \hookrightarrow L^0(\mathcal{L}) \rightarrow M$

$\psi \in T(S^+(\mathcal{L}))$ A POSITIVE SPINOR FIELD

RECALL : $S^c(M)$ DOUBLE COVERS THE FIBER PRODUCT $F_{SO}(M) \times L^0(\mathcal{L})$

VIA THE MAP SPIN^c , GIVEN LOCALLY BY

$$\begin{aligned} (x, \xi) = (x, e^{\theta_i} \mu) &\rightarrow ((x, x), (\pi(\xi), \mathfrak{S}(\xi))) \\ &= ((x, x), (\text{SPIN}(M), e^{2\theta_i})) \end{aligned}$$

LET Pr_F AND Pr_{L^0} BE THE PROJECTIONS OF $F_{SO}(M) \times L^0(\mathcal{L})$ ONTO $F_{SO}(M)$ AND $L^0(\mathcal{L})$, RESPECTIVELY.

THEN WE HAVE

$$\text{SPIN}^c(4) \hookrightarrow S^c(M) \rightarrow M$$

$$\downarrow \text{Pr}_F \circ \text{SPIN}^c$$

$$\text{SO}(4) \hookrightarrow F_{\text{SO}}(M) \rightarrow M$$

$$\text{SPIN}^c(4) \hookrightarrow S^c(M) \rightarrow M$$

$$\downarrow \text{Pr}_L \circ \text{SPIN}^c$$

$$\text{U}(1) \hookrightarrow L^0(L) \rightarrow M$$

AN AUTOMORPHISM $\sigma : S^c(M) \rightarrow S^c(M)$ (DIFFEOMORPHISM SATISFYING

$\pi_{S^c} \circ \sigma = \pi_{S^c}$ AND $\sigma(p \cdot \xi) = \sigma(p) \cdot \xi$) IS SAID TO COVER

THE IDENTITY ON $F_{\text{SO}}(M)$ IF

$$\text{Pr}_F \circ \text{SPIN}^c \circ \sigma = \text{Pr}_F \circ \text{SPIN}^c$$

$\mathcal{G}(L) = \text{GROUP (UNDER COMPOSITION) OF ALL SUCH.}$

EXAMPLES: FOR ANY SMOOTH MAP $\gamma \in C^\infty(M, \text{U}(1))$

OF M TO $\text{U}(1) \hookrightarrow \text{SPIN}^c(4)$ DEFINE

$$\sigma_\gamma : S^c(M) \rightarrow S^c(M)$$

$$\sigma_\gamma(p) = p \cdot \gamma(\pi_{S^c}(p))$$

σ_γ IS A DIFFEOMORPHISM AND (SINCE $\text{U}(1) \in \mathcal{Z}(\text{SPIN}^c(4))$)

$$\sigma_\gamma(p \cdot \xi) = (p \cdot \xi) \cdot \gamma(\pi_{S^c}(p \cdot \xi))$$

$$= (p \cdot \xi) \cdot \gamma(\pi_{S^c}(p))$$

$$= p \cdot (\xi \gamma(\pi_{S^c}(p)))$$

$$= p \cdot (\gamma(\pi_{S^c}(p)) \xi)$$

$$= (p \cdot \gamma(\pi_{S^c}(p))) \cdot \xi = \sigma_\gamma(p) \cdot \xi$$

SO σ_γ IS AN AUTOMORPHISM. IT COVERS THE IDENTITY ON $F_{SO}(M)$ BECAUSE, LOCALLY, $\text{Pr}_F \circ \text{SPIN}^c$ IS GIVEN BY

$$p = (x, \xi) = (x, e^{\theta i} \mu) \rightarrow (x, \text{SPIN}(\mu))$$

SO p AND $p \cdot e^{\phi i}$ ALWAYS HAVE THE SAME IMAGE.

IN FACT, EVERY ELEMENT OF $\mathcal{H}(L)$ IS σ_γ FOR SOME $\gamma \in C^\infty(M, U(1))$

PROOF: FIRST OBSERVE THAT TWO ELEMENTS OF $S^c(M)$ WITH THE SAME IMAGE UNDER $\text{Pr}_F \circ \text{SPIN}^c$ CAN DIFFER ONLY BY THE ACTION OF SOMETHING IN $U(1)$ (IF $p_1 = (x_1, \xi_1)$ AND $p_2 = (x_2, \xi_2)$ HAVE THE SAME IMAGE, THEN $x_1 = x_2$ AND $\text{SPIN}(\mu_1) = \text{SPIN}(\mu_2)$ SO $\mu_1 = \pm \mu_2$ AND

$$\begin{aligned} \xi_1 &= e^{\theta_1 i} \mu_1 = (\pm e^{\theta_1 i}) \mu_2 \\ &= (\pm e^{(\theta_1 - \theta_2) i}) e^{\theta_2 i} \mu_2 \\ &= \xi_2 (\pm e^{(\theta_1 - \theta_2) i}) \end{aligned}$$

$$\text{SO } p_1 = p_2 \cdot (e^{(\theta_1 - \theta_2) i}) \text{ OR } p_1 = p_2 \cdot (e^{(\theta_1 - \theta_2 + \pi) i}).$$

THUS, IF AN AUTOMORPHISM $\sigma: S^c(M) \rightarrow S^c(M)$ COVERS THE IDENTITY ON $F_{SO}(M)$, THEN

$$\sigma(p) = p \cdot (\text{SOMETHING IN } U(1))$$

$\forall p \in S^c(M)$. WE CLAIM THAT THIS "SOMETHING" MUST BE THE

TRUE FOR ALL POINTS IN THE SAME FIBER OF π_{S^c} . INDEED,

$\pi_{S^c}(p_1) = \pi_{S^c}(p_2)$ IMPLIES $p_2 = p_1 \cdot \xi$ FOR SOME $\xi \in \text{SPIN}^c(4)$

AND IF $\sigma(p_1) = p_1 \cdot e^{\phi_i}$, THEN

$$\begin{aligned}\sigma(p_2) &= \sigma(p_1 \cdot \xi) = \sigma(p_1) \cdot \xi \\ &= (p_1 \cdot e^{\phi_i}) \cdot \xi = (p_1 \cdot \xi) \cdot e^{\phi_i} \\ &= p_2 \cdot e^{\phi_i}\end{aligned}$$

AS WELL. THUS, $\sigma(p) = p \cdot \gamma(\pi_{S^c}(p))$ FOR SOME $\gamma \in C^\infty(M, U(1))$

SO $\sigma = \sigma_\gamma$. □

THUS,

$$\mathcal{G}(L) \cong C^\infty(M, U(1))$$

WHERE THE GROUP OPERATION ON $C^\infty(M, U(1))$ IS POINTWISE MULTIPLICATION IN $U(1)$.

WE WILL USE WHICHEVER VIEW OF THE GAUGE GROUP $\mathcal{G}(L)$ IS MOST CONVENIENT IN ANY GIVEN SITUATION.

NOW WE DESCRIBE THE ACTION OF $\mathcal{G}(L)$ ON (A, ψ) :

THE SPINOR FIELD $\psi \in T(S^+(L))$:

FOR THIS WE IDENTIFY ψ WITH AN EQUIVARIANT S^c_+ -VALUED

PULL ON $S^c(M)$ ($\psi(p, \xi) = \xi^{-1} \cdot \psi(p)$) AND
 DEFINE THE ACTION OF σ_γ ON ψ BY PULLBACK:

$$\psi \cdot \sigma_\gamma = \psi \circ \gamma = \sigma_\gamma^* \psi = \psi \circ \sigma_\gamma$$

THUS, AT EACH $p \in S^c(M)$,

$$\begin{aligned} (\psi \cdot \sigma_\gamma)(p) &= \psi(\sigma_\gamma(p)) = \psi(p, \delta(\pi_{S^c}(p))) \\ &= (\delta(\pi_{S^c}(p)))^{-1} \cdot \psi(p) \end{aligned}$$

THEN IF WE THINK OF ψ AGAIN AS A SECTION
 OF $S^c(L)$,

$$\psi \cdot \gamma = \gamma^{-1} \psi$$

THE CONNECTION A ON $U(1) \hookrightarrow L^0(L) \rightarrow M$:

NOTE THAT THE AUTOMORPHISM σ_γ OF $S^c(M)$
 INDUCES AN AUTOMORPHISM σ'_γ OF $L^0(L)$:

$$\begin{array}{ccc} S^c(M) & \xrightarrow{\sigma_\gamma} & S^c(M) \\ \text{Pr}_{L^0} \circ \text{SPIN}^c \downarrow & & \downarrow \text{Pr}_{L^0} \circ \text{SPIN}^c \\ L^0(L) & \xrightarrow{\sigma'_\gamma} & L^0(L) \end{array}$$

$$\sigma'_\gamma \circ \text{Pr}_{L^0} \circ \text{SPIN}^c = \text{Pr}_{L^0} \circ \text{SPIN}^c \circ \sigma_\gamma$$

(WE WILL WRITE OUT AN EXPLICIT LOCAL EXPRESSION
FOR σ'_Y IN A MOMENT)

NOW DEFINE THE ACTION OF σ'_Y ON A BY

$$A \cdot \sigma'_Y = A \cdot Y = (\sigma'_Y)^* A$$

WE WILL NEED A LOCAL EXPRESSION FOR COMPUTING THIS ACTION

SO LET Δ BE A LOCAL SECTION OF $L^0(L)$ AND WRITE

$$Q = \Delta^* A$$

FOR THE CORRESPONDING LOCAL GAUGE POTENTIAL. ALSO DEFINE

$$Q \cdot Y = \Delta^* (A \cdot Y) = \Delta^* ((\sigma'_Y)^* A) = (\sigma'_Y \circ \Delta)^* A$$

SINCE $\text{Pr}_{L^0} \circ \text{SPIN}^C$ IS LOCALLY GIVEN BY

$$(x, \xi) = (x, e^{\theta_i \mu}) \longrightarrow (x, \mathcal{S}(\xi)) = (x, e^{2\theta_i})$$

IT SATISFIES

$$(\text{Pr}_{L^0} \circ \text{SPIN}^C)(p \cdot \xi_0) = ((\text{Pr}_{L^0} \circ \text{SPIN}^C)(p)) \cdot \mathcal{S}(\xi_0)$$

SO

$$\begin{aligned} \sigma'_Y((\text{Pr}_{L^0} \circ \text{SPIN}^C)(p)) &= (\text{Pr}_{L^0} \circ \text{SPIN}^C)(\sigma'_Y(p)) \\ &= (\text{Pr}_{L^0} \circ \text{SPIN}^C)(p \cdot \mathcal{Y}(\pi_{S^c}(p))) \\ &= ((\text{Pr}_{L^0} \circ \text{SPIN}^C)(p)) \cdot \mathcal{S}(\mathcal{Y}(\pi_{S^c}(p))) \end{aligned}$$

SINCE $\Pi_{L^0} \circ \text{SPIN}^c$ MAPS ONTO $L^0(\mathcal{L})$ WE CAN WRITE THIS AS

$$\sigma_\gamma'(x) = x \cdot \delta(\gamma(\pi_{L^0}(x))) = x \cdot (\gamma(\pi_{L^0}(x)))^2$$

$\forall x \in L^0(\mathcal{L})$.

IN PARTICULAR,

$$\sigma_\gamma' \circ \Delta = \Delta \cdot \gamma^2$$

AT EVERY POINT OF M .

NOTE : Δ IS A SECTION OF $L^0(\mathcal{L})$ AND SO IS $\sigma_\gamma' \circ \Delta$. THE LAST EQUALITY IDENTIFIES THE TRANSITION MAP THAT RELATES THE TWO SECTIONS AS γ^2 .

SINCE

$$a = \Delta^* A \Rightarrow a \cdot \gamma = (\sigma_\gamma' \circ \Delta)^* A$$

WE CONCLUDE THAT

$$a \cdot \gamma = (\gamma^2)^{-1} a(\gamma^2) + (\gamma^2)^{-1} d(\gamma^2)$$

$$= a + (\gamma^2)^{-1} (2\gamma d\gamma)$$

$$a \cdot \gamma = a + 2\gamma^{-1} d\gamma$$

WHICH IS OUR LOCAL EXPRESSION FOR THE ACTION OF THE GAUGE GROUP ON THE CONNECTION.

APPLYING $\pi_{L^0}^*$ TO BOTH SIDES GIVES

$$A \cdot \gamma = A + \pi_{L^0}^* (2\gamma^{-1}d\gamma)$$

NOW WE HAVE THE ACTION OF $\mathcal{H}(\mathcal{L})$ ON SEIBERG-WITTEN CONFIGURATIONS:

$$(A, \psi) \cdot \sigma_\gamma = (A, \psi) \cdot \gamma = ((\sigma_\gamma^{-1})^* A, \sigma_\gamma^* \psi)$$

OR, LOCALLY ON M ,

$$(\mathcal{A}, \psi) \cdot \gamma = (\mathcal{A} + 2\gamma^{-1}d\gamma, \gamma^{-1}\psi)$$

BEFORE PROVING OUR MAJOR RESULT ON SOLUTIONS (A, ψ) TO (SW) (THAT THE ACTION OF $\mathcal{H}(\mathcal{L})$ CARRIES SOLUTIONS TO SOLUTIONS) WE NEED TO OBSERVE THAT THE Spin^c CONNECTION CORRESPONDING TO $A \cdot \gamma$ IS THE PULLBACK BY σ_γ OF THAT CORRESPONDING TO A :

$$\omega_{A \cdot \gamma} = \sigma_\gamma^* \omega_A$$

HERE'S THE PROOF: BY DEFINITION,

$$\sigma_\gamma^* \omega_A = (\text{Spin}^c \circ \sigma_\gamma)^* (Pr_F^* \omega_{LC} + Pr_{L^0}^* A).$$

MOREOVER,

$$\begin{aligned}
\omega_{A \cdot \gamma} &= (\text{SPIN}^C)^* (Pr_F^* \omega_{LC} + Pr_{L^0}^* ((\sigma'_\gamma)^* A)) \\
&= (Pr_F \circ \text{SPIN}^C)^* \omega_{LC} + (\sigma'_\gamma \circ Pr_{L^0} \circ \text{SPIN}^C)^* A \\
&= \underbrace{(Pr_F \circ \text{SPIN}^C \circ \sigma_\gamma)^*}_{\substack{\sigma_\gamma \text{ COVERS} \\ \text{THE IDENTITY} \\ \text{ON } F_{SO}(M)}} \omega_{LC} + \underbrace{(Pr_{L^0} \circ \text{SPIN}^C \circ \sigma_\gamma)^*}_{\substack{\text{DEFINITION OF} \\ \sigma'_\gamma}} A \\
&= (\text{SPIN}^C \circ \sigma_\gamma)^* (Pr_F^* \omega_{LC} + Pr_{L^0}^* A) \\
&= \sigma_\gamma^* \omega_A
\end{aligned}$$

AS REQUIRED.

ANOTHER COMPUTATION OF $\omega_{A \cdot \gamma}$ (USING $A \cdot \gamma = A + \pi_{L^0}^* (2\gamma^{-1} d\gamma)$)

GIVES

$$\begin{aligned}
\omega_{A \cdot \gamma} &= (\text{SPIN}^C)^* (Pr_F^* \omega_{LC} + Pr_{L^0}^* (A + \pi_{L^0}^* (2\gamma^{-1} d\gamma))) \\
&= \omega_A + (\text{SPIN}^C)^* (Pr_{L^0}^* (\pi_{L^0}^* (2\gamma^{-1} d\gamma))) \\
&= \omega_A + (\pi_{L^0} \circ Pr_{L^0} \circ \text{SPIN}^C)^* (2\gamma^{-1} d\gamma) \\
&= \omega_A + \pi_{SC}^* (2\gamma^{-1} d\gamma)
\end{aligned}$$

NOW FOR OUR MAJOR RESULT :

THEOREM: THE ACTION OF $\mathcal{G}(\mathcal{L})$ ON (A, ψ) CARRIES SOLUTIONS TO (SW) ONTO OTHER SOLUTIONS TO (SW). MORE PRECISELY, IF (A, ψ) SATISFIES

$$\left\{ \begin{array}{l} D_A \psi = 0 \\ F_A^+ = \sigma^+((\psi \otimes \psi^*)_0) \end{array} \right.$$

THEN, FOR ANY $\sigma_\gamma \in \mathcal{G}(\mathcal{L})$, $(A, \psi) \cdot \gamma = (A \cdot \gamma, \psi \cdot \gamma)$ SATISFIES

$$\left\{ \begin{array}{l} D_{A \cdot \gamma}(\psi \cdot \gamma) = 0 \\ F_{A \cdot \gamma}^+ = \sigma^+((\psi \cdot \gamma) \otimes (\psi \cdot \gamma)^*)_0 \end{array} \right.$$

PROOF: THE CURVATURE EQUATION IS EASY. WE MAY PROVE THE EQUALITY LOCALLY SO LET λ BE A SECTION OF $L^0(\mathcal{L})$ AND $A = \lambda^* A$. WE HAVE SEEN THAT $A \cdot \gamma = (\sigma_\gamma^{-1} \circ \lambda)^* A$ SO A AND $A \cdot \gamma$ ARE GAUGE POTENTIALS FOR THE SAME CONNECTION ON $L^0(\mathcal{L})$. WE HAVE ALSO SEEN THAT THE TRANSITION MAP RELATING THE TWO SECTIONS IS γ^2 . CONSEQUENTLY, THE (SELF-DUAL PARTS OF THE) CURVATURES ARE RELATED BY

$$F_{A \cdot \gamma}^+ = \gamma^2 F_A^+ (\gamma^2)^{-1} = F_A^+$$

(BECAUSE $U(1)$ IS ABELIAN). SIMILARLY, COMMUTATIVITY OF $U(1)$ GIVES

$$\begin{aligned}
(\psi \cdot \gamma) \otimes (\psi \cdot \gamma)^* &= (\gamma^{-1} \psi) \otimes (\gamma^{-1} \psi)^* \\
&= (\gamma^{-1} \psi) \otimes (\gamma \psi^*) \\
&= (\gamma^{-1} \gamma) \psi \otimes \psi^* \\
&= \psi \otimes \psi^* .
\end{aligned}$$

THUS, $F_A^+ = \sigma^+((\psi \otimes \psi^*)_0)$ IMPLIES $F_{A \cdot \gamma}^+ = \sigma^+(((\psi \cdot \gamma) \otimes (\psi \cdot \gamma)^*)_0)$.

TO VERIFY THE ANALOGOUS STATEMENT FOR THE DIRAC EQUATION IT WILL SURELY BE ENOUGH TO SHOW THAT

$$D_{A \cdot \gamma}(\psi \cdot \gamma) = (D_A \psi) \cdot \gamma .$$

FOR THIS WE IDENTIFY ψ WITH AN EQUIVARIANT S_G^+ -VALUED MAP ON $S^c(M)$ AND COMPARE THE COVARIANT EXTERIOR DERIVATIVES $d_A \psi$ AND $d_{A \cdot \gamma}(\psi \cdot \gamma)$. STANDARD FORMULAS FOR SUCH DERIVATIVES (E.G., THEOREM 3.1.5 OF REFERENCE [9]) GIVE

$$d_A \psi = d\psi + \frac{1}{2} \omega_A \psi$$

AND

$$d_{A \cdot \gamma}(\psi \cdot \gamma) = d(\psi \cdot \gamma) + \frac{1}{2} \omega_{A \cdot \gamma}(\psi \cdot \gamma)$$

WHERE, E.G., ω_A TAKES VALUES IN $\text{spin}^c(4)$, IDENTIFIED WITH A SUBSET OF $C(4) \otimes \mathbb{C}$, AND SO $\omega_A \psi$ IS A MATRIX PRODUCT.

NOW WE COMPUTE

$$\begin{aligned}
 d_{A,\gamma}(\psi,\gamma) &= d_{A,\gamma}((\gamma \circ \pi_{S^c})^{-1}\psi) \\
 &= d((\gamma \circ \pi_{S^c})^{-1}\psi) + \frac{1}{2}\omega_{A,\gamma}((\gamma \circ \pi_{S^c})^{-1}\psi) \\
 &= (\gamma \circ \pi_{S^c})^{-1}d\psi - (\gamma \circ \pi_{S^c})^{-2}d(\gamma \circ \pi_{S^c})\psi \\
 &\quad + \frac{1}{2}(\omega_A + 2(\gamma \circ \pi_{S^c})^{-1}d(\gamma \circ \pi_{S^c}))((\gamma \circ \pi_{S^c})^{-1}\psi) \\
 &= (\gamma \circ \pi_{S^c})^{-1}(d\psi + \frac{1}{2}\omega_A\psi) - (\gamma \circ \pi_{S^c})^{-2}d(\gamma \circ \pi_{S^c})\psi \\
 &\quad + (\gamma \circ \pi_{S^c})^{-2}d(\gamma \circ \pi_{S^c})\psi \\
 &= (\gamma \circ \pi_{S^c})^{-1}(d_A\psi)
 \end{aligned}$$

THUS,

$$d_{A,\gamma}(\psi,\gamma) = (\gamma \circ \pi_{S^c})^{-1}(d_A\psi)$$

AND SO

$$\nabla_{A,\gamma}(\psi,\gamma) = (\gamma \circ \pi_{S^c})^{-1}\nabla_A\psi.$$

NOW, FOR THE DIRAC OPERATORS WE HAVE

$$\begin{aligned}
 \not{D}_{A,\gamma}(\psi,\gamma) &= \sum_{i=1}^4 E_i \cdot \nabla_{A,\gamma} \psi(E_i) \\
 &= \sum_{i=1}^4 E_i \cdot (\gamma \circ \pi_{S^c})^{-1} \nabla_A \psi(E_i) \\
 &= (\gamma \circ \pi_{S^c})^{-1} \not{D}_A \psi = (\not{D}_A \psi) \cdot \gamma
 \end{aligned}$$

AS REQUIRED.

□

BEFORE LEAVING THE SUBJECT OF THE GAUGE ACTION ON (A, ψ)

LET US DETERMINE THE REDUCIBLES :

THEOREM : (A, ψ) IS LEFT FIXED BY SOME NON-IDENTITY ELEMENT σ_γ OF $\mathcal{H}(\mathcal{L})$ IF AND ONLY IF $\psi \equiv 0$ AND, IN THIS CASE, $\gamma: M \rightarrow U(1)$ MUST BE A CONSTANT MAP.

PROOF : SUPPOSE $(A, \psi) \cdot \sigma_\gamma = (A, \psi)$. THEN,

$$(A + 2\gamma^{-1}d\gamma, \gamma^{-1}\psi) = (A, \psi)$$

SO

$$2\gamma^{-1}d\gamma = 0 \quad \text{AND} \quad \gamma^{-1}\psi = \psi$$

SINCE $\gamma \neq 1$, THE SECOND OF THESE IMPLIES $\psi \equiv 0$. THE FIRST GIVES $d\gamma = 0$ AND, SINCE M IS CONNECTED, γ IS CONSTANT. CONVERSELY, IF $\psi \equiv 0$, THEN (A, ψ) IS LEFT FIXED BY ANY σ_γ WITH γ CONSTANT. \square

4. A PRIORI BOUNDS (AND COMPACTNESS)

HERE WE WILL SKETCH THE PROOF THAT ANY SOLUTION (A, ψ) TO

$$\begin{aligned} (SW) \quad D_A \psi &= 0 \\ F_A^+ &= \sigma^+((\psi \otimes \psi^*)_0) \end{aligned}$$

EITHER HAS $\psi \equiv 0$ ((A, ψ) REDUCIBLE) OR

$$\|\psi(x)\|^2 \leq \chi(M) := \max \left\{ -\frac{1}{2} \chi(x) : x \in M \right\}$$

WHERE $\chi(x)$ IS THE SCALAR CURVATURE OF M AT x .

NOTE : IN PARTICULAR, IF THE METRIC HAS POSITIVE SCALAR CURVATURE, THEN ALL SOLUTIONS (A, ψ) HAVE $\psi \equiv 0$.

WE WRITE THE SECOND (SW) EQUATION AS

$$\rho^+(F_A) = (\psi \otimes \psi^*)_0$$

(APPENDIX 14, PAGE 25).

WE WILL ALSO APPEAL TO THE FAMOUS WEITZENBÖCK FORMULA FROM DIFFERENTIAL GEOMETRY WHICH, IN OUR PRESENT CIRCUMSTANCES, ASSERTS THAT

$$(1) \quad \mathcal{D}_A^* \circ \mathcal{D}_A \psi = \nabla_A^* \circ \nabla_A \psi + \frac{1}{4} \kappa \psi + \rho^+(F_A) \psi$$

WHERE THE $*$ INDICATES FORMAL ADJOINT AND κ IS THE SCALAR CURVATURE OF M .

BECAUSE (A, ψ) SATISFIES (SW) THIS REDUCES TO

$$(2) \quad 0 = \nabla_A^* \circ \nabla_A \psi + \frac{1}{4} \kappa \psi + (\psi \otimes \psi^*) \psi.$$

TAKE THE POINTWISE INNER PRODUCT OF BOTH SIDES OF (2) WITH ψ TO GET

$$(3) \quad 0 = \langle \nabla_A^* \circ \nabla_A \psi(x), \psi(x) \rangle + \frac{1}{4} \kappa(x) \|\psi(x)\|^2 + \frac{1}{2} \|\psi(x)\|^4$$

(FOR THE LAST TERM USE (44) OF APPENDIX 14 TO COMPUTE $(\psi \otimes \psi^*) \psi$).

NOW, $\|\psi\|^2$ IS A CONTINUOUS FUNCTION ON THE COMPACT SPACE M SO THERE IS AN $x_0 \in M$ AT WHICH IT ACHIEVES AN ABSOLUTE MAXIMUM VALUE.

WE CLAIM THAT, AT THIS POINT

$$(4) \quad \langle \nabla_A^* \circ \nabla_A \psi(x_0), \psi(x_0) \rangle \geq 0$$

(NOTE THAT (3) IMPLIES THAT $\langle \nabla_A^* \circ \nabla_A \psi(x), \psi(x) \rangle$ IS REAL FOR ALL x).

THE PROOF OF (4) DEPENDS ON THE FOLLOWING IDENTITY:

$$(5) \quad \Delta_g \|\psi\|^2 = -2 \|\nabla_A \psi\|^2 + 2 \langle \nabla_A^* \circ \nabla_A \psi, \psi \rangle$$

WHERE $\Delta_g = \delta \circ d$ IS THE SCALAR HODGE LAPLACIAN OF g . THIS CAN BE VERIFIED BY WRITING OUT THE LAPLACIAN IN A LOCAL ORTHONORMAL FRAME FIELD E_1, E_2, E_3, E_4 ON M :

$$\Delta_g \|\psi\|^2 = - \sum_{i=1}^4 (\partial_i \partial_i \|\psi\|^2 + \operatorname{div}(E_i) \partial_i \|\psi\|^2)$$

RECALL : THE (COVARIANT) DIVERGENCE

$\operatorname{div} V$ OF A VECTOR FIELD V IS DEFINED

TO BE THE TRACE OF THE ENDOMORPHISM

$X \rightarrow \nabla_X V : TM \rightarrow TM$ AND, FOR

A LOCAL ORTHONORMAL FRAME

$$\operatorname{div}(E_i) = - \sum_j T_{ij}^i$$

WHERE $T_{ij}^k = g(\nabla_{E_i} E_j, E_k)$.

$$= -2 \sum_i (\partial_i \operatorname{Re} \langle \psi, \nabla_i \psi \rangle + \operatorname{div}(E_i) \operatorname{Re} \langle \psi, \nabla_i \psi \rangle)$$

$$= -2 \sum_i \|\nabla_i \psi\|^2 - 2 \sum_i \operatorname{Re} \langle \psi, \nabla_i \nabla_i \psi + \operatorname{div}(E_i) \nabla_i \psi \rangle$$

$$= -2 \|\nabla_A \psi\|^2 + 2 \operatorname{Re} \langle \psi, \nabla_A^* \circ \nabla_A \psi \rangle$$

BECAUSE $\nabla_i^* = -\nabla_i - \operatorname{div}(E_i)$

$$= -2 \|\nabla_A \psi\|^2 + 2 \langle \psi, \nabla_A^* \circ \nabla_A \psi \rangle$$

FOR SOLUTIONS TO (SW) BY (3).

NOW, TO PROVE (4) WE APPLY (5) AT x_0 TO OBTAIN

$$2 \langle \nabla_A^* \circ \nabla_A \psi(x_0) \rangle = \Delta_g \|\psi\|^2(x_0) + 2 \|\nabla_A \psi\|^2(x_0).$$

THE SECOND TERM IS OBVIOUSLY NONNEGATIVE. SINCE $\|\psi\|^2$ ACHIEVES A MAXIMUM AT x_0 (AND SINCE THE HODGE LAPLACIAN HAS THAT ANNOYING EXTRA MINUS SIGN) THE SAME IS TRUE OF THE FIRST TERM SO (4) IS PROVED.

NOW EVALUATE (3) AT x_0 AND USE (4) TO CONCLUDE THAT

$$\frac{1}{4} \kappa(x_0) \|\psi(x_0)\|^2 + \frac{1}{2} \|\psi(x_0)\|^4 \leq 0,$$

THUS,

$$\|\psi(x_0)\|^4 \leq -\frac{1}{2} \kappa(x_0) \|\psi(x_0)\|^2.$$

THERE ARE TWO POSSIBILITIES. EITHER $\|\psi(x_0)\| = 0$, IN WHICH CASE $\psi \equiv 0$, OR

$$\|\psi(x_0)\|^2 \leq -\frac{1}{2} \kappa(x_0)$$

AND CONSEQUENTLY

$$(6) \quad \|\psi(x)\|^2 \leq -\frac{1}{2} \kappa(x_0)$$

$\forall x \in M.$

NOTE: WE ARE LOOKING FOR A UNIFORM BOUND ON $\|\psi(x)\|^2$ FOR ALL SOLUTIONS (A, ψ) TO (SW) AND (6) WILL NOT DO SINCE x_0 GENERALLY DEPENDS ON ψ .

NOW, $-\frac{1}{2} \chi(x)$ IS A CONTINUOUS FUNCTION ON THE COMPACT SPACE M SO WE MAY LET

$$\chi(M) = \max \left\{ -\frac{1}{2} \chi(x) : x \in M \right\}$$

AND CONCLUDE THAT

$$\|\psi(x)\|^2 \leq \chi(M) \quad \forall x \in M.$$

THUS, FOR ANY METRIC AND ANY Spin^c STRUCTURE AND ANY SOLUTION (A, ψ) TO (SW), THE SPINOR PART ψ IS UNIFORMLY BOUNDED BY $(0$ IF THE SOLUTION IS REDUCIBLE AND, OTHERWISE) THE GEOMETRICAL CONSTANT $\chi(M)$.

THE SECOND (SW) EQUATION NOW GIVES A UNIFORM BOUND ON THE SELF-DUAL PART OF THE CURVATURE FOR ANY SOLUTION. A BIT MORE WORK GIVES A BOUND ON THE ANTI-SELF-DUAL PART OF THE CURVATURE, AND THUS ON THE CURVATURE ITSELF.

THESE UNIFORM BOUNDS ON Ψ AND F_A ARE, BY THEMSELVES, NOT SUFFICIENT TO PROVE THE COMPACTNESS OF THE MODULI SPACE.

NOTE : THEY ARE, HOWEVER, SUFFICIENT TO PROVE THAT, FOR A GIVEN g , THERE ARE AT MOST FINITELY MANY EQUIVALENCE CLASSES OF Spin^c STRUCTURES FOR WHICH THE MODULI SPACE IS NONEMPTY AND HAS NONNEGATIVE FORMAL DIMENSION.

FOR THIS ONE MUST ALSO BOUND THE CONNECTION PART A OF A SOLUTION (A, Ψ) "UP TO GAUGE".

AT THIS POINT, HOWEVER, THE "SMOOTH" ARGUMENTS WE HAVE RELIED UPON THUS FAR FAIL US AND ONE MUST PROCEED BY WAY OF A "BOOTSTRAPING" ARGUMENT THROUGH SOBOLEV SPACES OF VARYING INDEX. SINCE THIS USES THE ENTIRE ARSENAL OF SOBOLEV EMBEDDING AND MULTIPLICATION THEOREMS, THE RELICH THEOREM, ETC., I WILL NOT ATTEMPT A QUICK SYNOPSIS. A REASONABLY CONCISE DISCUSSION OF THE ARGUMENT IS AVAILABLE ON PAGES 80 - 85 OF REFERENCE [36].